

Exhibit 1

CLASS ACTION SETTLEMENT AGREEMENT AND RELEASE

This Class Action Settlement Agreement and Release is made as of the date of the last signature below, by and between as defined herein, (a) the Settlement Class Representatives,¹ on behalf of themselves and the Settlement Class, and (b) Arietis Health, LLC. This Agreement fully and finally compromises and settles any and all claims that are, were, or could have been asserted against Arietis in the actions captioned: *Schafer v. Arietis Health, LLC*, Case No. 1:23-cv-13014 (D. Mass.); *Frankeberger v. Arietis Health, LLC*, Case No. 1:23-cv-13015 (D. Mass.); *Sligh v. Arietis Health, LLC*, Case No. 1:23-cv-13026 (D. Mass.); *Gentry v. Arietis Health, LLC*, Case No. 1:23-cv-13019 (D. Mass.); *Zeigler v. Arietis Health LLC*, Case No. 1:23-cv-13018 (D. Mass.); *Seidner v. Arietis Health, LLC*, Case No. 1:23-cv-13020 (D. Mass.); *Paynter, et al. v. Progress Software Corp., et al.*, Case No. 1:23-cv-12524 (D. Mass.); *Oguin v. Progress Software Corp., et al.*, Case No. 1:23-cv-13025 (D. Mass.); *Hayden v. Arietis Health, LLC, et al.*, Case No. 1:23-cv-12736 (D. Mass.); *Hakemi v. Progress Software Corp., et al.*, Case No. 1:24-cv-10031 (D. Mass.); and *Swecoski v. Arietis Health, LLC, et al.*, Case No. 1:23-cv-13077 (D. Mass.) (the “Actions”), which, if not originally filed in the U.S. District Court for the District of Massachusetts, have been transferred to and coordinated with, *In re: MOVEit Customer Data Security Breach Litig.*, MDL No. 1:23-md-03083-ADB, pending in the U.S. District Court for the District of Massachusetts as set forth herein.

RECITALS

WHEREAS, in May 2023 cybercriminals exploited a vulnerability in Progress Software Corporation’s MOVEit Transfer software that enabled the cybercriminals to access and exfiltrate

¹ All capitalized terms are defined in Section 1 below.

personal information stored in the databases of Progress's MOVEit Transfer customers, including that of Arietis Health, LLC.

WHEREAS, after the announcement of the MOVEit data security incident, multiple putative class action lawsuits were filed against Progress and users of its MOVEit Transfer software, alleging that Progress and users of its MOVEit Transfer software had failed to properly protect personal information in accordance with their duties, had inadequate data security, were unjustly enriched by the use of personal information of the impacted individuals, and improperly or inadequately notified potentially impacted individuals.

WHEREAS, on or about September 29, 2023, Arietis began notifying individuals that their personally identifying information may have been compromised in the MOVEit Security Incident involving Progress's MOVEit Transfer software, which was Arietis's third-party file transfer software vendor.

WHEREAS, on October 4, 2023, the United States Judicial Panel on Multidistrict Litigation transferred ten (10) putative class action lawsuits related to the MOVEit data security incident to the Honorable Allison D. Burroughs of the U.S. District Court for the District of Massachusetts for coordinated or consolidated pre-trial proceedings. *In re: MOVEit Customer Data Security Breach Litig.*, MDL No. 1:23-md-03083-ADB (D. Mass.) (ECF No. 2).

WHEREAS, starting on October 5, 2023 with the *Schafer* action, Settlement Class Representatives filed the Actions against Arietis and Progress in various judicial districts, asserting common law and statutory claims and seeking remedies (including damages and injunctive relief) for the alleged impact and harm caused by the MOVEit Security Incident.

WHEREAS, on or about December 6 and 27, 2023, the Actions were transferred to the District of Massachusetts, and coordinated with, *In re: MOVEit Customer Data Security Breach Litigation*, MDL No. 1:23-md-03083-ADB.

WHEREAS, on January 19, 2024, the Court appointed Co-Lead Counsel (defined below as Class Counsel) and authorized them to litigate all pre-trial proceedings and to conduct settlement negotiations on behalf of Plaintiffs and absent putative class members that now compromise the Settlement Class.

WHEREAS, the Parties' Counsel are experienced litigators in the data breach field. After the appointment of Co-Lead Counsel, they and Arietis's Counsel discussed the possible early resolution of the Actions, which culminated in the Parties participating in a mediation session conducted on April 30, 2024, by the Honorable Diane M. Welsh (Ret.), a retired federal magistrate judge now with JAMS in Philadelphia, Pennsylvania. In preparation for and following the mediation, the Parties exchanged extensive confidential information related to the MOVEit Security Incident and the Settlement Class Representatives that allowed the Parties to assess the case and meaningfully engage in arm's length settlement discussions.

WHEREAS, the initial mediation was unsuccessful, the Parties continued negotiating and reached agreement regarding the material terms of a settlement, which if approved by the Court, will resolve all claims against Arietis in the Actions. Thereafter, the Parties drafted this Agreement.

WHEREAS, the Parties recognize the expense and length of proceedings that would be required to continue litigation of the Actions through further motions practice, discovery, trial, and any possible appeals. The Parties have taken into account the uncertainty and risk of the outcome of further litigation, and the expense, difficulties, and delays inherent in such litigation. The Parties are also aware of the burdens of proof necessary to establish liability and damages for the claims

alleged in the Action and the defenses thereto. Based upon their investigation, discovery, and motions practice, as set forth above, the Parties have determined that the settlement set forth in this Agreement is in their respective best interests and that the Agreement is fair, reasonable, and adequate. The Parties therefore agree to settle the claims asserted against Arietis in the Actions pursuant to the terms and provisions of this Agreement, subject to the Court's approval.

WHEREAS, the Parties now agree to settle the Actions in their entirety as to Arietis, without any admission of liability, with respect to all Released Claims of the Settlement Class Representatives and Settlement Class Members who do not timely and validity exclude themselves from the Settlement Class. The Parties intend this Agreement to bind the Settlement Class Representatives, Arietis, and all Settlement Class Members that do not timely and validly exclude themselves from the Agreement.

THEREFORE, in light of the foregoing, for good and valuable consideration, the receipt of which is hereby mutually acknowledged, it is hereby stipulated and agreed by the Parties that the Actions be settled, compromised, and dismissed on the merits and with prejudice with respect to Arietis, subject to preliminary and final Court approval, as required by Fed. R. Civ. P. 23, on the following terms and conditions:

1. Definitions.

As used in all parts of this Agreement, including the recitals above, and exhibits attached hereto, the follow terms have the meanings specified below:

- 1.1 "Actions" means: *Schafer v. Arietis Health, LLC*, Case No. 1:23-cv-13014 (D. Mass.); *Frankeberger v. Arietis Health, LLC*, Case No. 1:23-cv-13015 (D. Mass.); *Sligh v. Arietis Health, LLC*, Case No. 1:23-cv-13026 (D. Mass.); *Gentry v. Arietis Health, LLC*, Case No. 1:23-cv-13019 (D. Mass.); *Zeigler v. Arietis Health LLC*, Case No. 1:23-cv-13018 (D. Mass.); *Seidner v. Arietis Health, LLC*, Case No. 1:23-

cv-13020 (D. Mass.); *Paynter, et al. v. Progress Software Corp., et al.*, Case No. 1:23-cv-12524 (D. Mass.); *Oguin v. Progress Software Corp., et al.*, Case No. 1:23-cv-13025 (D. Mass.); *Hayden v. Arietis Health, LLC, et al.*, Case No. 1:23-cv-12736 (D. Mass.); *Hakemi v. Progress Software Corp., et al.*, Case No. 1:24-cv-10031 (D. Mass.); and *Swekoski v. Arietis Health, LLC, et al.*, Case No. 1:23-cv-13077 (D. Mass.) (the “Actions”), which, if not originally filed in the U.S. District Court for the District of Massachusetts, have been transferred to and coordinated with, *In re: MOVEit Customer Data Security Breach Litig.*, MDL No. 1:23-md-03083-ADB, pending in the U.S. District Court for the District of Massachusetts

- 1.2 “Agreement” or “Settlement” means this Class Action Settlement Agreement and Release and all of its attachments and exhibits, which the Parties understand and agree set forth all material terms and conditions of the Settlement of this Action between them and which is subject to approval by the Court.
- 1.3 “Approved Claim” means the timely submission of a Claim Form by a Settlement Class Member that has been approved by the Settlement Administrator.
- 1.4 “Arietis Clients” means entities that provided data to Arietis that contained the personally identifying information and/or protected health information of individuals that were included in the data files affected by the MOVEit Security Incident.
- 1.5 “Class Counsel” means E. Michelle Drake of Berger Montague, PC, Gary F. Lynch of Lynch Carpenter, LLP, Douglas J. McNamara of Cohen Milstein Sellers & Toll PLLC, Karen H. Riebel of Lockridge Grindal Nauen PLLP, and Charles E. Schaffer

of Levin Sedran & Berman LLP, and those working at their direction for purposes of negotiating and executing this Settlement.

- 1.6 “Claim Form” means the form(s) Settlement Class Members must submit to be eligible for reimbursement under the terms of the Settlement, which is attached hereto as Exhibit A.
- 1.7 “Claims Period” means the ninety (90) day period following the Notice Deadline by which Settlement Class Members must file Claims online, or if by mail, the date by which the Claim must be postmarked.²
- 1.8 “Costs of Notice and Administration” means all reasonable costs and expenses of the Settlement Administrator associated with or arising from the settlement administration and notice program. The Costs of Notice and Administration shall be paid to the Settlement Administrator as set forth in this Agreement.
- 1.9 “Court” means the United States District Court for the District of Massachusetts.
- 1.10 “Defendant” or “Arietis” means Arietis Health, LLC.
- 1.11 “Defendant’s Counsel” or “Arietis’s Counsel” means Aravind Swaminathan of Orrick, Herrington & Sutcliffe LLP.
- 1.12 “Defendant Released Parties” means Arietis, its current, former, and future officers, director, agents, successors, predecessors, affiliates, parents, and subsidiaries. Not included in the Defendant Released Parties are Anesthesia Consulting & Management, LP (“ACM”) (to include NorthStar Anesthesia) or any of its affiliated entities and any other Arietis Client.

² All time periods provided by this Agreement are stated in calendar days, not business days, unless otherwise specifically indicated.

- 1.13 “Effective Date” of this Agreement means the last date by which all of the following have occurred:
- a. The Parties have executed this Agreement;
 - b. The Parties have submitted to the Court and the Court has entered the Final Approval Order and Judgment without material changes to the Parties’ proposed Final Approval order and Judgment; and
 - c. The time for seeking rehearing, appellate, or other review of the Final Approval Order has expired, or the Settlement is affirmed on appeal or review without material change, no other appeal or petition for rehearing or review is pending, and the time period during which further petition for hearing review, appeal, or certiorari could be taken has finally expired.
- 1.14 “Final Approval Hearing” means the hearing to be held after Notice has been provided to Settlement Class Members, to determine whether the Settlement should obtain final approval.
- 1.15 “Final Approval Order and Judgment” or “Final Approval” means an order signed and entered by the Court, which approves this Settlement and dismisses the Actions as to the Defendant Released Parties, in a form substantially similar to the proposed Final Approval Order and Judgment, attached as Exhibit B. In the event that the Court issues separate orders addressing the matters constituting Final Approval, then the Final Approval Order and Judgment includes all such orders.
- 1.16 “MOVEit Security Incident” means the MOVEit data security incident occurring in May 2023 that resulted from a vulnerability of Progress’s MOVEit Transfer software, which impacted Arietis.

- 1.17 “Net Settlement Fund” means the monies remaining in the Settlement Fund after the Costs of Notice and Administration, Service Awards, and Attorneys’ Fees and Expenses are deducted.
- 1.18 “Notice” means notice of the proposed class action Settlement to be provided to Settlement Class Members.
- 1.19 “Notice Deadline” means the date sixty (60) days after Arietis’s provision of the list of Settlement Class Members to the Settlement Administrator.
- 1.20 “Objection Deadline” means sixty (60) days after the Notice Deadline.
- 1.21 “Opt Out Deadline” means sixty (60) days after the Notice Deadline.
- 1.22 “Party” means each of the Settlement Class Representatives, on behalf of themselves and the Settlement Class, and Arietis, and “Parties” means the Settlement Class Representatives, on behalf of themselves and the Settlement Class, and Arietis collectively.
- 1.23 “Parties’ Counsel” means Class Counsel and Arietis’s Counsel.
- 1.24 “Plaintiff Released Parties” means the Settlement Class Members, Settlement Class Representatives, and Class Counsel.
- 1.25 “Preliminary Approval Order” or “Preliminary Approval” means the entry of an Order of Preliminary Approval in the form set forth in Exhibit C, or substantially in that form to the satisfaction of the Parties.
- 1.26 “Progress” or “PSC” means Progress Software Corporation.
- 1.27 “Released Claims” mean any and all liabilities, claims, causes of action, damages, penalties, costs, attorneys’ fees, losses or demands, whether known or unknown, existing or suspected or unsuspected, that were or reasonably could have been

asserted against Defendant Released Parties and relate to the MOVEit Security Incident. Excluded from the Released Claims are any claims against Progress. Also excluded from Released Claims are any claims against NorthStar Anesthesia or any of its affiliated entities and any other Arietis Client.

- 1.28 “Service Award” means a payment made, subject to Court-approval, to the Settlement Class Representatives for their service in bringing these Actions.
- 1.29 “Settlement Administrator” means Epiq Class Action & Claims Solutions, Inc. A different Settlement Administrator may be substituted if approved by order of the Court.
- 1.30 “Settlement Benefits Plan” means the plan for processing claims and for distributing Settlement benefits to Settlement Class Members, which shall be presented by Class Counsel to the Court for approval in connection with a motion seeking a Preliminary Approval Order.
- 1.31 “Settlement Class” or “Settlement Class Members” means all persons in the United States who provided their personal information and/or personal health information—including: (1) dates of birth; (2) Social Security Numbers; (3) driver’s license numbers; (4) parent’s maiden names; (5) digital signatures; (6) medical record numbers; (7) patient account numbers; (8) Medicare numbers; (9) Medicaid numbers; (10) health insurance account and group numbers; (11) medical history information; (12) medical diagnosis information; (13) medical treatment/procedure information; (14) medical provider information; (15) clinical information; and (16) prescription information—to Arietis, directly or indirectly, and whose personal identifying information and/or personal health information was

included in files affected by the MOVEit Security Incident. Excluded from the Settlement Class are (i) Arietis, and Arietis's officers, directors, legal representatives, successors, subsidiaries, and assigns; (ii) any judge, justice, or judicial officer presiding over the Actions and the members of their immediate families and judicial staff; and (iii) any individual who timely and validly opts out of the Settlement. It is estimated that there are approximately 1,900,000 persons in the Settlement Class. The list of Settlement Class Members shall include the Settlement Class members' names, postal address (if available from MOVEit Security Incident notice materials), and email address (if available from MOVEit Security Incident notice materials).

- 1.32 "Settlement Class Representatives" or "Plaintiffs" means Plaintiffs Danielle Schafer, Eliot Frankenberger, Gina Sligh, Charles Gentry, Robbin Zeigler, Kevan Seidner, Judy Paynter, Keith Sennefelder, David L. Pratt, Joyce Oguin, Timothy Hayden, Ahmad Hakemi, and Don Swecoski, Jr. (each individually being a "Settlement Class Representative" or "Plaintiff").
- 1.33 "Settlement Fund" means the two million, eight hundred thousand United States Dollars (\$2,800,000) that Arietis shall pay pursuant to Section 3 of this Agreement.
- 1.34 "Settlement Fund Account" means the account described in Section 4 of this Agreement.
- 1.35 "Settlement Payments" means any payment to be made to Settlement Class Members who have filed Approved Claims.
- 1.36 "Settlement Website" means the website that the Settlement Administrator will establish as soon as practicable following entry of the Preliminary Approval Order,

but no later than the Notice Deadline, as a means for Settlement Class Members to obtain notice of and information about the Settlement, including hyperlinked access to the Settlement, Notice, Preliminary Approval Order, operative complaint(s), and such other documents as Class Counsel and Arietis's Counsel mutually agree to post, or that the Court orders posted, on the website. These documents shall remain on the Settlement Website at least sixty (60) days after the Effective Date. The URL of the Settlement Website shall be agreed upon by Class Counsel and Arietis's Counsel. Settlement Class Members shall also be able to submit Claim Forms electronically via the Settlement Website. The Settlement Website shall not include any advertising and shall remain operational until at least sixty (60) days after the Effective Date.

- 1.37 "Taxes" means (i) any applicable taxes, duties, and similar charges imposed by a government authority (including any estimated taxes, interest, or penalties) arising in any jurisdiction with respect to the income or gains earned by or in respect of the Settlement Fund, including, without limitation, any taxes that may be imposed upon the Parties or the Parties' Counsel with respect to any income or gains earned by or in respect of the Settlement Fund; (ii) any other taxes, duties, and similar charges imposed by a government authority (including any estimated taxes, interest, or penalties) relating to the Settlement Fund that the Settlement Administrator determines are or will become due and owing, if any; and (iii) any and all expenses, liabilities, and costs incurred in connection with the taxation of the Settlement Fund (including without limitation, expenses of tax attorneys and accountants).

2. Settlement Class Certification.

2.1 The Parties agree, for purposes of this Settlement only, to the certification of the Settlement Class. If the Settlement set forth in this Settlement Agreement is not approved by the Court, or if the Settlement Agreement is terminated or cancelled pursuant to the terms of this Settlement Agreement, this Settlement Agreement, and the certification of the Settlement Class provided herein, will be vacated and the Actions shall proceed as though the Settlement Class had never been certified, without prejudice to any Party's position on the issue of class certification or any other issue. The Parties' agreement to certification of the Settlement Class is also without prejudice to any position asserted by the Parties in any other proceeding, case, or action, as to which all of their rights are specifically preserved.

3. The Settlement Fund.

3.1 Arietis agrees to make a non-reversionary settlement payment of two million, eight hundred thousand United States Dollars (\$2,800,000) and deposit that settlement payment into the Settlement Fund as follows:

- a. Within thirty (30) days of the Court entering the Preliminary Approval Order and receipt of payment information and a W-9 for the Settlement Administrator, Arietis shall pay four-hundred and fifty thousand United States Dollars (\$450,000) to pay for the Costs of Notice and Administration; and
- b. Within thirty (30) days of the Effective Date, Arietis shall fund the remaining balance of the Settlement Fund.

3.2 The Settlement Fund Shall be used to pay for:

- a. Costs of Notice and Administration;

- b. Any Service Award approved by the Court;
 - c. Any Attorneys' Fees and Expenses approved by the Court; and
 - d. Settlement Payments for the Settlement Class as provided for in this Agreement, the Settlement Benefits Plan to be filed by Class Counsel, and approved by the Court.
- 3.3 Arietis shall not be obligated to pay more than two million, eight hundred thousand United States Dollars (\$2,800,000). No funds shall revert back to Arietis, except in the event this Agreement is voided, cancelled, or terminated, as described in Section 16 in this Agreement. In the event the Effective Date occurs, no portion of the Settlement Fund shall be returned to Arietis.
- 3.4 Class Counsel and/or the Settlement Administrator shall furnish to Arietis any required account information, writing instructions, or necessary forms (including a properly completed and signed IRS Form W-9 that includes the employer identification number for the Settlement Fund Account) within ten (10) days of the Court entering the Preliminary Approval Order.

4. The Settlement Fund Account.

- 4.1 The Settlement Fund monies shall be held in the Settlement Fund Account, which shall be established and maintained by the Settlement Administrator.
- 4.2 All funds held in the Settlement Fund Account shall be deemed to be in the custody of the Court until such time as the funds shall be disbursed pursuant to this Agreement or further order of the Court.
- 4.3 No amounts may be withdrawn from the Settlement Fund Account unless (i) authorized by this Agreement and the Settlement Benefits Plan, after approval by the Court; or (ii) otherwise approved by the Court.

- 4.4 The Parties agree that the Settlement Fund Account is intended to constitute a “qualified settlement fund” within the meaning of Treasury Regulation § 1.468B-1, *et seq.*, and that the Settlement Administrator shall be the “administrator” within the meaning of Treasury Regulation § 1.468B-2(k)(3). The Parties further agree that the Settlement Fund Account shall be treated as a qualified settlement fund from the earliest date possible and agree to any relation-back election required to treat the Settlement Fund Account as a qualified settlement fund from the earliest date possible.
- 4.5 Upon or before establishment of the Settlement Fund Account, the Settlement Administrator shall apply for an employer identification number for the Settlement Fund Account utilizing IRS Form SS-4 and in accordance with Treasury Regulation § 1.468B-2(k)(4), and shall provide Arietis with that employer identification number on a properly completed and signed IRS Form W-9.
- 4.6 The Settlement Administrator shall file or cause to be filed, on behalf of the Settlement Fund Account, all required federal, state, and local tax returns, information returns, including, but not limited to, any Form 1099-series return, and tax withholdings statements, in accordance with the provisions of Treasury Regulation § 1.468B-2(k)(l) and Treasury Regulation § 1.468B-2(1)(2). Any contract, agreement, or understanding with the Settlement Administrator relating to the Settlement Fund Account shall require the Settlement Administrator or its agent to file or cause to be filed, on behalf of the Settlement Fund Account, all required federal, state, and local tax returns, information returns, including, but not limited to any Form 1099-series return, and tax withholdings statements, in accordance

with the provisions of Treasury Regulation § 1.468B-2(k)(1) and Treasury Regulation § 1.468B-2(1)(2). The Settlement Administrator may, if necessary, secure the advice of a certified public accounting firm in connection with its duties and tax issues arising hereunder the costs of which shall be considered Costs of Notice and Administration and paid from the Settlement Fund.

4.7 All Taxes relating to the Settlement Fund Account shall be paid out of the Settlement Fund Account, shall be considered to be Costs of Notice and Administration, and shall be timely paid by the Settlement Administrator without prior order of the Court. Further, the Settlement Fund Account shall indemnify and hold harmless the Parties and the Parties' Counsel for Taxes (including, without limitation, taxes payable by reason of any such indemnification payments).

4.8 Following its payment of the Settlement Fund monies as described in Section 3.1 of this Agreement, Arietis shall have no responsibility, financial obligation, or liability whatsoever with respect to selection of the Settlement Fund Account, investment of Settlement Fund Account funds, payment of federal, state, and local income, employment, unemployment, excise, and any other Taxes, penalties, interest, or other charges related to Taxes imposed on the Settlement Fund Account or its disbursements, payment of the administrative, legal, accounting, or other costs occasioned by the use or administration of the Settlement Fund Account.

5. Business Practice Changes.

5.1 Notwithstanding any other obligations, as part of this Agreement, Arietis agrees to engage in certain business practice changes. Such business practice changes will include conducting due diligence of third parties that provide file transfer services to Arietis by obtaining independent security audits and requiring mutually agreed-

upon dates by which third parties that provide file transfer services to Arietis will resolve audit findings. Arietis will maintain these business practices for a minimum of two (2) years following the entry of the Final Approval Order and Judgment.

6. Settlement Payments to Settlement Class Members.

6.1 Filing of Approved Claims. Only Settlement Class Members who submit Approved Claims during the Claims Period will receive a Settlement Payment under the Settlement.

- a. The Claims Period will run for ninety (90) days after the Notice Deadline.
- b. Settlement Class Members may submit a Claim Form to the Settlement Administrator electronically through the Settlement Website or by mail to the Settlement Administrator. Claim Forms must be submitted electronically or postmarked before midnight on the final day of the Claims Period. All Claim Forms must be submitted during the Claims Period and in the manner set forth in this Agreement.
- c. In its discretion, to be reasonably exercised, the Settlement Administrator will review all Claim Forms submitted. Claim Forms will be reviewed for completeness, plausibility, and reasonable traceability to the MOVEit Security Incident. The Settlement Administrator may require supplementation of a completed Claim Form or additional information needed to validate or audit a claim. To the extent that a Settlement Class Member fails to provide any supplementation or additional information if requested, the Settlement Administrator may determine that the Settlement Class Member failed to submit a valid claim and therefore reject that claim.

d. The Settlement Administrator will process valid claims of Settlement Class Members and distribute payments after the Effective Date in accordance with the terms of this Agreement.

6.2 Settlement Benefits Plan. In connection with the Preliminary Approval Motion, Class Counsel shall present to the Court for approval the Settlement Benefits Plan, which shall describe in detail, among other things: (i) the benefits available to Settlement Class Members; and (ii) the process for submitting claims for such benefits.

a. The Settlement Administrator shall be responsible for implementing and executing the Settlement Benefits Plan.

b. As specified in Section 3.2, the costs associated with any benefits provided to Settlement Class Members under the Settlement Benefits Plan shall be paid from the Settlement Fund.

7. Payment of Service Awards and Attorneys' Fees and Expenses.

7.1 Service Awards. The Settlement Class Representatives and Class Counsel shall submit a request to the Court for payment of a Service Award not to exceed two thousand five hundred United States Dollars (\$2,500). Any request for a Service Award must be filed with the Court at least fourteen (14) days before the Objection Deadline. If approved by the Court, such Service Awards shall be paid by the Settlement Administrator from the Settlement Fund within thirty (30) days of the Effective Date. Any Service Awards and any requirements for obtaining any such payment are separate and apart from, and in addition to, any potential recovery for the Settlement Class Representatives as a Settlement Class Member.

- a. Arietis agrees not to oppose any request to the Court for a Service Award, provided that such request does not seek more than two thousand five hundred United States Dollars (\$2,500) per Settlement Class Representative.
- b. The Parties agree that the effectiveness of this Agreement is not contingent upon the Court's approval of the payment of any Service Awards. If the Court declines to approve, in whole or in part, a request for a Service Award, all remaining provisions in this Agreement shall remain in full force and effect. No decision by the Court, or modification or reversal or appeal of any decision by the Court, concerning the payment of a Service Award, or the amount thereof, shall be grounds for cancellation or termination of this Agreement.

7.2 Attorneys' Fees and Expenses. Class Counsel shall submit a request to the Court for payment of Attorneys' Fees, expressed as a percentage of the value conferred by the Settlement on the Settlement Class, and for reimbursement of Expenses incurred in prosecuting and settling the Actions. Any request for Attorneys' Fees and Expenses must be filed with the Court at least twenty-one (21) days before the Objection Deadline. If approved by the Court, such Attorneys' Fees and Expenses Shall be paid by the Settlement Administrator from the Settlement Fund within sixty (30) days of the Effective Date.

- a. The Parties agree that the effectiveness of this Agreement is not contingent upon the Court's approval of the payment of any Attorneys' Fees or Expenses. If the Court declines to approve, in whole or in part, a request for

Attorneys' Fees or Expenses, all remaining provisions in this Agreement shall remain in full force and effect. No decision by the Court, or modification or reversal or appeal of any decision by the Court, concerning the payment of Attorneys' Fees or Expenses, or the amount thereof, shall be grounds for cancellation or termination of this Agreement.

8. Presentation of Settlement to the Court.

8.1 Preliminary Approval. Within ten (10) days following execution of this Agreement by all Parties and Class Counsel, Class Counsel shall file a motion seeking an order granting the Preliminary Approval Order (the "Preliminary Approval Motion"). Such motion shall also include and seek approval of the proposed Notice and the Settlement Benefits Plan.

- a. Among other things, the Preliminary Approval Motion will ask the Court to: (a) preliminary approve the terms of the Settlement as within the range of fair, adequate, and reasonable; (b) provisionally certify the Settlement Class pursuant to Fed. R. Civ. P. 23; (c) approve the Settlement Administrator, notice program set forth herein, form and content of the Notice, and Claim Form; (d) approve the procedures set forth in this Settlement for Settlement Class Members to exclude themselves from the Settlement Class or to object to the Settlement; (e) stay and/or enjoin, pending Final Approval of the Settlement, any actions brought by Settlement Class Members concerning any Released Claims; (f) appoint Class Counsel and Settlement Class Representatives; and (g) schedule a Final Approval Hearing at a date that provides sufficient time for the deadlines contemplated by this Settlement and that is convenient for the

Court, at which time the Court will conduct an inquiry into the fairness of the Settlement, determine whether it was made in good faith and should be finally approved, as well as determine whether to approve Class Counsel's application for Attorneys' Fees and Expenses and Service Awards.

8.2 Settlement Benefits Plan. In connection with the Preliminary Approval Motion, Class Counsel shall present to the Court for approval the Settlement Benefits Plan, which shall describe in detail, among other things: (i) the benefits available to Settlement Class Members; and (ii) the process and timing for submitting claims for such benefits.

a. The Settlement Administrator shall be responsible for implementing and executing the Settlement Benefits Plan.

8.2 Final Approval. By no later than thirty (30) days prior to the Final Approval Hearing, Class Counsel shall move the Court to enter the Final Approval Order and Judgment (the "Final Approval Motion"). Class Counsel shall move the Court for approval of Attorneys' Fees and Expenses and Service Awards no later than twenty-one (21) days prior to the Objection Deadline. Objectors, if any, shall file any responses to Class Counsel's motions no later than seventeen (17) days prior to the Final Approval Hearing. By no later than ten (10) days prior to the Final Approval Hearing, responses shall be filed, if any, to any filings by objectors, and any replies in support of final approval of the Settlement or Class Counsel's application for Attorneys' Fee and Expenses and Service Awards. In the Court's discretion, the Court also may hear argument at the Final Approval Hearing from any Settlement Class Members (or their counsel), who object to the Settlement

and/or Class Counsel's request for Attorneys' Fees and Expenses and Service Awards, provided the objectors filed timely objections that meet all the requirements listed in this Agreement.

8.3 At or following the Final Approval Hearing, the Court will determine whether to enter the Final Approval Order and Judgment, and whether to approve Class Counsel's Application for Attorney's Fees and Expenses and Service Awards. The proposed Final Approval Order and Judgment that will be filed with the Final Approval Motion shall be in a form agreed upon by Class Counsel and Arietis as set forth in Exhibit B attached hereto. Such proposed Final Approval Order and Judgment shall, among other things:

- a. Determine that the Settlement is fair, adequate, and reasonable;
- b. Finally certify the Settlement Class for settlement purposes only;
- c. Determine that the Notice provided satisfied the Federal Rules of Civil Procedure and Due Process requirements;
- d. Dismiss all claims in the Actions as to the Defendant Released Parties with prejudice;
- e. Bar and enjoin the Plaintiff Released Parties from asserting any of the Released Claims, including during the pendency of any appeal from Final Approval;
- f. Release and forever discharge the Defendant Released Parties from the Released Claims as provided in this Settlement; and
- g. Reserve the Court's continuing and exclusive jurisdiction over Arietis and all Settlement Class Members (including all objectors) to administer,

supervise, construe, and enforce this Settlement in accordance with its terms.

9. Duties of Settlement Administrator.

9.1 Class Counsel will retain, subject to Court-approval—and Arietis’s approval, which shall not be unreasonably withheld—an independent Settlement Administrator.

9.2 The Settlement Administrator shall perform the functions and duties necessary to effectuate the Settlement and as specified in this Agreement or the Settlement Benefits Plan after Court approval, and any other functions approved by the Court, including, but not limited to, the following:

- a. Providing the CAFA notice—the notice required by the Class Action Fairness Act of 2008, 28 U.S.C. § 1715, no later than ten (10) days after this Agreement is filed with the Court;
- b. Obtaining the list of Settlement Class Members for the purpose of disseminating Notice to Settlement Class Members;
- c. Providing Notice to Settlement Class Members;
- d. Establishing and maintaining the Settlement Website;
- e. Establishing and maintaining a toll-free telephone line for Settlement Class Members to call with Settlement-related inquiries, and answering the questions of Settlement Class Members who call with or otherwise communicate such inquiries timely;
- f. Responding to any Settlement Class Member inquiries timely;
- g. Reviewing, determining the validity of, and processing all claims submitted by Settlement Class Members;

- h. Receiving requests to opt out and objections from Settlement Class Members and providing Class Counsel and Defendant's Counsel a copy thereof no later than fifteen (15) days following the deadline for submission of the same. If the Settlement Administrator receives any requests to opt out, objections, or other requests from Settlement Class Members after the Opt-Out and Objection Deadlines, the Settlement Administrator shall promptly provide copies thereof to Class and Defendant's Counsel;
- i. After the Effective Date, processing and transmitting Settlement Payments to Settlement Class Members and the approved Attorneys' Fee and Expenses to Class Counsel;
- j. Providing weekly or other periodic reports to Class Counsel and Defendant's Counsel that include information regarding the number of Claims and later, settlement payments sent and delivered, payments accepted and cashed, undeliverable information, and any other requested information relating to filed Claim Forms and Settlement Payments. The Settlement Administrator shall also, as requested by Class Counsel or Defendant's Counsel and from time to time, provide the amounts remaining from any funds paid by Defendant.
- k. In advance of the Final Approval Hearing, preparing an affidavit to submit to the Court that: (i) attests to implementation of Notice in accordance with the Preliminary Approval Order; and (ii) identifies each Settlement Class Member who timely and properly submitted a request for exclusion; and

1. Performing any function related to Settlement administration at the agreed-upon instruction of Class Counsel or Defendant's Counsel, including, but not limited to, verifying that Settlement Payments have been distributed.
- 9.3 Neither the Parties nor the Parties' Counsel shall have any liability whatsoever with respect to any act or omission of the Settlement Administrator, or any of its designees or agents, in connection with its performance of its duties under this Agreement or the Settlement Benefits Plan, once approved by the Court.
- 9.4 The Settlement Administrator shall indemnify and hold harmless the Parties and the Parties' Counsel for any liability arising from any act or omission of the Settlement Administrator, or any of its designees or agents, in connection with its performance of its duties under this Agreement or the Settlement Benefits Plan, once approved by the Court.

10. Notice to Settlement Class Members.

- 10.1 Within sixty (60) days after the entry of the Preliminary Approval Order, Defendant shall provide the Settlement Administrator with the list of Settlement Class Members containing Settlement Class Members' full names, current addresses, and email addresses, if either or both are available, as reflected in Arietis's records or the records of any other entity to which Arietis has access. In the event Arietis is unable to obtain the list of Settlement Class Members from any other entity that possesses such information, the Parties may engage in limited discovery, including subpoenas directed at the entity(ies) at issue, to compile the list of Settlement Class Members.

10.2 Following Defendant's provision of the list of Settlement Class Members to the Settlement Administrator, Notice to the Settlement Class shall be provided in the following manner by the Notice Deadline:

- a. A Notice consistent with Exhibit D (the "Short Form Notice") shall be emailed or mailed by U.S. Mail (where email addresses are unavailable) by the Settlement Administrator. The Notice will advise Settlement Class Members of the allegations asserted in the Actions, how to file a claim, how to exclude themselves from the Settlement, how to object to the Settlement, and the Settlement Website. If at any time, the Parties have reason to believe that certain Settlement Class Members have not received direct emailed or mailed Notice, they may work in good faith to identify alternative means of contact.
- b. Before mailing the Notice, the Settlement Administrator will update each Settlement Class Member's address through a reliable service of the Settlement Administrator's choosing that is consistent with its customary business practices. If any mail or email Notice is returned to the Settlement Administrator as undelivered and a forwarding address is provided, the Settlement Administrator will re-mail one additional time to the new addresses. For those Notices returned to the Settlement Administrator as undeliverable with no forwarding address, the Settlement Administrator will perform a skip trace search and/or make other reasonable efforts to locate an updated address, and, where such an address is found, will re-mail the notice to the updated address.

c. The Settlement Administrator shall post the Notice consistent with Exhibit E (“the Long Form Notice”) and Claim Form consistent with Exhibit A on the Settlement Website established by the Settlement Administrator. The Settlement Administrator shall also provide Settlement Class Members the ability to submit the information for the Claim Form electronically via the Settlement Website.

10.3 In addition to the Notice by the Settlement Administrator identified in Section 10.2, the following additional Notice shall be provided:

a. Defendant shall provide Notice of the Settlement on its website. Defendant will provide a draft of the Notice to Class Counsel for review in advance of distribution. Defendant shall provide the Notice identified in this paragraph no later than the Notice Deadline.

10.4 The Settlement Administrator shall send a reminder Notice consistent with Exhibit F (the “Reminder Notice”) by email to Settlement Class Members, which emails shall be sent no sooner than 40 days before, and no later than 25 days before, the end of the Claims Period.

11. CAFA Notice.

11.1 Arietis will work with the Settlement Administrator, as necessary, to facilitate service of the notice required by the Class Action Fairness Act of 2008, 28 U.S.C. § 1715, no later than ten (10) days after this Agreement is filed with the Court.

12. Opt-Outs.

12.1 The Notice shall include a procedure for Settlement Class Members to opt out from the Settlement by notifying, in writing, the Settlement Administrator of their intent to exclude themselves from the Settlement. Such written requests for exclusion

must be postmarked no later than the Opt Out Deadline. The written request for exclusion must include:

- a. The name of this litigation or a decipherable approximation, *i.e.*, *In Re: MOVEit Customer Data Security Breach Litigation*, 1:23-md-03083-ADB (D. Mass.) (Arietis Actions);
- b. The full name, address, and telephone number of a Settlement Class Member; or the full name, address, telephone number, relationship, and signature of the Settlement Class Member or of any individual acting on behalf of a Settlement Class Member; and
- c. The words “Opt-Out” or “Request for Exclusion” at the top of the document or a statement in the body of the document requesting exclusion from the Settlement.

12.2 Opt-out requests seeking exclusion on behalf of more than one individual shall be deemed invalid by the Settlement Administrator.

12.3 Any individual who submits a valid and timely request for exclusion in the matter described herein shall not: (i) be bound by any orders or judgments entered in connection with the Settlement; (ii) be entitled to any relief under, or be affected by, the Settlement; (iii) gain any rights by virtue of the Settlement; or (iv) be entitled to object to any aspect of the Settlement.

12.4 Any Settlement Class Member who does not provide a timely request for exclusion, or who does not provide all information required by this Settlement to exclude himself or herself, shall be bound by the terms of the Settlement, including all releases in the Settlement.

13. Objections.

13.1 The Notice shall include a procedure for Settlement Class Members to object to the Settlement, Class Counsel's request for attorneys' fees, and expenses, and/or the application for Service Awards. Objections to the Settlement, Class Counsel's request for Attorneys' Fees and Expenses, and/or to the application for Service Awards must be filed electronically with the Court or mailed to the Clerk of the Court and the Settlement Administrator. For an objection to be considered by the Court, the objection must be: (a) filed by the Objection Deadline; or (b) mailed via USPS First Class Mail prepaid to the Clerk of Court and the Settlement Administrator at the addresses listed in the Notice and postmarked by no later than the Objection Deadline, as specified in the Notice. Each Settlement Class Member desiring to object to the settlement shall submit a timely written notice of his or her objection.

13.2 Any objection must be in writing and must:

- a. Clearly identify the case name and number *In Re: MOVEit Customer Data Security Breach Litigation*, 1:23-md-03083-ADB (D. Mass.) (Arietis Actions);
- b. Include the full name, address, telephone number, and email address of the person objecting;
- c. Include the full name, address, telephone number, and email address of the objector's counsel (if the objector is represented by counsel);
- d. State whether the objection applies only to the objector, to a specific subset of the Settlement Class, or to the entire Settlement Class, and also state with specificity the grounds for the objection;

- e. Confirm whether the objector or counsel on the objector's behalf will personally appear and/or testify at the Final Approval Hearing; and
- f. Provide the objector's signature and the signature of the objector's duly authorized counsel or other duly authorized representative.

13.3 Settlement Class Members who fail to file and serve timely written objections in the manner specified above shall be deemed to have waived any objections and shall be foreclosed from making any objection (whether by appeal or otherwise) to the Settlement.

13.4 Any Settlement Class Member who both objects to the Settlement and opts out will be deemed to have opted out and the objection shall be deemed null and void.

13.5 The Settlement Administrator shall have the responsibility to provide all objections to the Court and counsel referenced above no later than fifteen (15) days following the Objection Deadline.

14. Representations and Warranties.

14.1 Each Party represents that:

- a. Such Party has the full legal right, power, and authority to enter into and perform this Agreement, subject to Court approval;
- b. Such Party is voluntarily entering into this Agreement as a result of arm's-length negotiations conducted by its counsel;
- c. Such Party is relying upon its own judgment, belief, and knowledge, and the advice and recommendations of its own independently selected counsel, concerning the nature, extent, and duration of their rights and claims hereunder and regarding all matters which relate in any way to the subject matter hereof;

- d. Such Party has been represented by, and consulted with, the counsel of its choice regarding the provisions, obligations, rights, risks, and legal effects of this Agreement and has been given the opportunity to review independently this Agreement with such counsel and agree to the language of the provisions herein;
- e. The execution and delivery of this Agreement by such Party and the consummation by such Party of the transactions contemplated by this Agreement have been duly authorized by such Party;
- f. Except as provided herein, such Party has not been influenced to any extent in executing the Agreement by representations, statements, or omissions pertaining to any of the foregoing matters by any Party or by any person representing any Party to the Agreement;
- g. Each Party assumes the risk of mistake as to fact or law;
- h. This Agreement constitutes a valid, binding, and enforceable agreement;
and
- i. No consent or approval of any person or entity is necessary for such Party to enter into this Agreement.

14.2 The Settlement Class Representatives represent and warrant that they have not assigned or otherwise transferred any interest in any of the Released Claims against any of the Defendant Released Parties, and further covenants that they will not assign or otherwise transfer any interest in any of the Released Claims against any of the Defendant Released Parties.

14.3 The Settlement Class Representatives represents and warrants that they have no surviving claim or cause of action against any of the Defendant Released Parties with respect to any of the Released Claims.

15. Releases.

15.1 As of the Effective Date, all Plaintiff Released Parties, on behalf of themselves, their heirs, assigns, beneficiaries, executors, administrators, predecessors, and successors, and any other person purporting to claim on their behalf, hereby expressly, generally, absolutely, unconditionally, and forever release and discharge any and all Released Claims against the Defendant Released Parties and any of their current, former, and future affiliates, parents, subsidiaries, representatives, officers, agents, directors, employees, contractors, shareholders, vendors, insurers, reinsurers, successors, assigns, and attorneys, except for claims relating to the enforcement of the Settlement or this Agreement. This release includes but is not limited to any state law or common law claims arising out of or relating to the MOVEit Security Incident that the Plaintiff Released Parties may have or had, such as under California's Consumer Privacy Act, California Civil Code section 1798.100, et seq. and/or California's Unfair Competition Law, California Civil Code section 17200 et seq. Each Party expressly waives all rights under California Civil Code section 1542, which provides:

A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS THAT THE CREDITOR OR RELEASING PARTY DOES NOT KNOW OR SUSPECT TO EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE AND THAT, IF KNOWN BY HIM OR HER, WOULD HAVE MATERIALLY

AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR
OR RELEASED PARTY.

The Plaintiff Released Parties also waive the provisions and rights of any law(s) that are comparable in effect to California Civil Code section 1542 (including, without limitation, California Civil Code § 1798.80, et seq., Montana Code Ann. § 28- 1-1602; North Dakota Cent. Code § 9-13-02; and South Dakota Codified Laws § 20-7-11). The Plaintiff Released Parties agree that, once this Agreement is executed, they will not, directly or indirectly, individually or in concert with another, maintain, cause to be maintained, or voluntarily assist in maintaining any further demand, action, claim, lawsuit, arbitration, or similar proceeding, in any capacity whatsoever, against any of the Defendant Released Parties based on any of the Released Claims.

- 15.2 As of the Effective Date, all Defendant Released Parties will release and discharge the Plaintiff Released Parties from any claims that arise out of or relate in any way to the institution, prosecution, or settlement of the Actions, except for claims relating to the enforcement of the Settlement or this Agreement or claims for breach of this Agreement.
- 15.3 The Parties understand that if the facts upon which this Agreement is based are found hereafter to be different from the facts now believed to be true, each Party expressly assumes the risk of such possible difference in facts, and agrees that this Agreement, including the releases contained herein, shall remain effective notwithstanding such difference in facts. The Parties agree that in entering this Agreement, it is understood and agreed that each Party relies wholly upon its own

judgment, belief, and knowledge and that each Party does not rely on inducements, promises, or representations made by anyone other than those embodied herein. Notwithstanding any other provision of this Agreement (including, without limitation, this Section), nothing in this Agreement shall be deemed to in any way impair, limit, or preclude the Parties' rights to enforce any provision of this Agreement, or any court order implementing this Agreement, in a manner consistent with the terms of this Agreement.

15.4 Arietis shall not pursue Progress for a claim for indemnification or contribution until after it has fully funded the Settlement Fund pursuant to this Agreement.

16. Termination.

16.1 This Agreement may be terminated by either the Settlement Class Representatives or Arietis by serving on counsel for the opposing party and filing with the Court, a notice of termination within ten (10) days (or such longer time as may be agreed between Class counsel and Arietis) after any of the following occurrences:

- a. Class Counsel and Arietis mutually agree to termination before the Effective Date;
- b. The Court rejects, materially modifies, materially amends or changes, or declines to preliminarily or finally approve the Settlement as set forth in this Agreement;
- c. An appellate court reverses the Final Approval Order and Judgment, and the Settlement is not reinstated and finally approved without material change by the Court on remand;
- d. The Court or any reviewing appellate court incorporates material items or provisions into, or deletes or strikes material terms or provisions from, or

materially modifies, amends, or changes, the Preliminary Approval Order, the proposed Final Approval Order and Judgment, or the Settlement;

- e. The size of the Settlement Class deviates by five (5) percent or more as described in Section 19.23; or
- f. The Effective Date does not occur.

16.2 Notwithstanding Section 16.1, if the Parties fail to Obtain Preliminary Approval or Final Approval of the Settlement, or if the Final Approval Order and Judgment is not upheld on appeal, the Parties shall negotiate in good faith in an attempt to modify the Settlement in a manner to effectuate the terms of this Agreement to obtain Preliminary Approval and Final Approval. If the Parties are unable to reach an agreement to modify the Settlement, then either Party may terminate this Agreement by providing notice of termination as provided above.

16.3 If this Agreement is terminated pursuant to Section 16.1(a)-(e) above, the following shall occur:

- a. Within ten (10) days of receiving notice of a termination event from Defendant's Counsel, the Settlement Administrator shall pay to Arietis an amount equal to the Settlement Fund, together with any interest or other income earned thereon, less (i) any Taxes paid or due with respect to such income and (ii) any reasonable and necessary Costs of Notice and Administration already actually incurred and paid or payable from the Settlement Fund pursuant to the terms of this Agreement;
- b. The Parties shall return to the status quo in the Action as if the Parties had not entered into this Agreement;

- c. Any Court orders approving certification of the Settlement Class and any other orders entered pursuant to this Agreement shall be null and void and vacated, and neither those orders nor any statements made in connection with seeking approval of the Agreement may be used in or cited by any person or entity in support of claims or defenses or in support or in opposition to a future class certification motion in connection with any further proceedings in the Action or in any other action, lawsuit, arbitration, or other proceeding involving a Released Claim; and
- d. This Agreement shall become null and void, and the fact of this Settlement and that Arietis did not oppose certification of the Settlement Class shall not be used or cited by any person or entity in support of claims or defenses or in support of or in opposition to a future class certification motion in connection with any further proceedings in the Action or in any other action, lawsuit, arbitration, or other proceeding involving a Released Claim.

17. No Admission of Wrongdoing.

- 17.1 Arietis denies each and every claim and contention alleged against it in the Actions and all charges of wrongdoing or liability alleged against it. Nonetheless, Defendant and its counsel have concluded that further continuation of the Actions would be protracted and expensive, and that it is desirable that the Actions be fully and finally settled in the manner and upon the terms and conditions set forth in this Settlement Agreement.
- 17.2 Neither the Settlement Agreement, nor the Settlement contained herein, nor any act performed or document executed pursuant to or in furtherance of the Settlement Agreement or the settlement: (i) is, or may be deemed to be, or may be used as, an

admission or evidence of the validity, or lack thereof, of any Released Claims, wrongdoing, or liability of the Defendant Released Parties; or (ii) is, or may be deemed to be, or may be used as, an admission of, or evidence of, any fault or omission, in any civil, criminal, and/or administrative proceeding in any court, administrative agency, and/or other tribunal or proceeding. Any of the Defendant Released Parties may file the Settlement Agreement and/or the Judgment in any action that may be brought against them in order to support a defense or counterclaim based on principles of res judicata, collateral estoppel, release, good faith settlement, judgment bar or reduction or any other theory of claim preclusion or issue preclusion or similar defense or counterclaim.

18. Notices.

18.1 All notices to Class Counsel provided for in this Agreement shall be sent by either email or USPS First Class mail to the following:

E. Michelle Drake
BERGER MONTAGUE, PC
1229 Tyler St., NE, Ste. 205
Minneapolis, MN 55413
Tel: (612) 594-5933
Fax: (612) 584-4470
emdrake@bm.net

Gary F. Lynch
LYNCH CARPENTER, LLP
1133 Penn Ave., 5th Fl.
Pittsburgh, PA 15222
Tel: (412) 322-9243
Fax: (412) 231-0246
Gary@lcllp.com

Douglas J. McNamara
COHEN MILSTEIN SELLERS & TOLL PLLC
1100 New York Ave. NW, 5th Fl.
Washington, DC 20005
Tel: (202) 408-4600

dmcnamara@cohenmilstein.com

Karen H. Riebel
LOCKRIDGE GRINDAL NAUEN PLLP
100 Washington Ave. S., Ste. 2200
Minneapolis, MN 55401
Tel: (612) 339-6900
Fax: (612) 612-339-0981
khriebel@locklaw.com

Charles E. Schaffer
LEVIN SEDRAN & BERMAN LLP
510 Walnut Street, Ste. 500
Philadelphia, PA 19106
Tel: (215) 592-1500
Fax: (215) 592-4663
cshaffer@lfsblaw.com

- 18.2 All notices to Arietis or Arietis's Counsel provided for in this Agreement shall be sent by either email or USPS First Class mail to the following:

Aravind Swaminathan
ORRICK, HERRINGTON & SUTCLIFFE
LLP
401 Union Street, Suite 3300
Seattle, WA 98101
Telephone: (206) 839-4300
aswaminathan@orrick.com

- 18.3 The notice recipients and addresses designed in this Section may be changed by written notice posted to the Settlement Website.

19. Miscellaneous Provisions.

- 19.1 Further Steps. The Parties agree that they each shall undertake any further required steps to effectuate the purposes and intent of this Agreement.
- 19.2 Cooperation. The Parties: (i) acknowledge that it is their intent to consummate this Settlement Agreement and (ii) agree to cooperate to the extent reasonably necessary to effect and implement all terms and conditions of the Settlement Agreement and

to exercise their best efforts to accomplish the foregoing terms and conditions of the Settlement Agreement.

19.3 Binding Effect. This Agreement shall be binding upon, and inure to and for the benefit of, the successors and assigns of the Plaintiff Released Parties and the Defendant Released Parties.

19.4 Obligation to Meet and Confer. Before filing any motion in the Court raising a dispute arising out of or related to this Agreement, the Parties shall consult with each other and certify to the Court that they have met and conferred in an attempt to resolve the dispute.

19.5 Settlement Class Member Communications. Defendant shall not authorize any communication that is intended or reasonably likely to encourage Settlement Class Members to exclude themselves from the Settlement or to object to the Settlement. Defendant may, in its discretion, authorize communications referring all questions regarding the Settlement to the Notice, Class Counsel, the Settlement Administrator, and the Settlement Website.

19.6 Authority. The signatories hereto hereby represent that they are fully authorized to enter into this Settlement and to bind the Parties hereto to the terms and conditions hereof. Any person executing this Settlement in a representative capacity represents and warrants that he or she is fully authorized to do so and to bind the Party on whose behalf he or she signs this Settlement to all of the terms and provisions of this Settlement.

19.7 Recitals. The recitals set forth above shall be and hereby are terms of this Agreement as if set forth herein.

- 19.8 Headings. Any headings contained herein are for informational purposes only and do not constitute a substantive part of this Agreement. In the event of a dispute concerning the terms and conditions of this Agreement, the headings shall be disregarded.
- 19.9 Integration. This Agreement (along with any exhibits attached hereto) constitutes a single, integrated written contract expressing the entire agreement of the Parties relative to the subject matter hereof. No covenants, agreements, representations, or warranties of any kind whatsoever have been made by any Party hereto, except as provided for herein.
- 19.10 Exhibits. The exhibits to this Agreement are expressly incorporated by reference and made part of the terms and conditions set forth herein.
- 19.11 Drafting. The Parties agree that no single Party shall be deemed to have drafted this Agreement, or any portion thereof, for purpose of the invocation of the doctrine of contra proferentem. This Agreement is a collaborative effort of the Parties and their attorneys.
- 19.12 Singular and Plurals. As used in this Agreement, all references to the plural shall also mean the singular and all references to the singular shall also mean the plural whenever the context so indicates.
- 19.13 Modification or Amendment. The Settlement Agreement may be amended or modified only by a written instrument signed by or on behalf of all Parties or their respective successors-in-interest. Modification of the Settlement Agreement following Preliminary Approval will require approval of the Court.

- 19.14 Waiver. The waiver by one Party of any breach of the Settlement by any other Party shall not be deemed a waiver, by that Party or by any other Party to the Settlement, of any other prior or subsequent breach of the Settlement.
- 19.15 Severability. Should any part, term, or provision of this Agreement be declared or determined by any court or tribunal to be illegal or invalid, the Parties agree that the Court may modify such provision to the extent necessary to make it valid, legal, and enforceable. In any event, such provision shall be separable and shall not limit or affect the validity, legality, or enforceability of any other provision hereunder.
- 19.16 Counterparts. The Settlement Agreement may be executed in one or more counterparts. All executed counterparts and each of them shall be deemed to be one and the same instrument. A complete set of original executed counterparts shall be filed with the Court.
- 19.17 Electronic Mail. Transmission of a signed Agreement by electronic mail shall constitute receipt of an original signed Agreement by mail.
- 19.18 Successors and Assigns. The Settlement shall be binding upon, and inure to the benefit of, the successors and assigns of the Parties to the Settlement.
- 19.19 Survival. The Parties agree that the terms set forth in this Agreement shall survive the signing of this Agreement.
- 19.20 Governing Law. The construction, interpretation, operation, effect, and validity of the Agreement and the exhibits hereto shall be governed by and interpreted according to the laws of the Commonwealth of Massachusetts, without regard to conflicts of laws, except to the extent federal law requires that federal law govern.

- 19.21 Retention of Jurisdiction. The administration and consummation of the Settlement as embodied in this Agreement shall be under the authority of the Court, and the Court shall retain jurisdiction over the Settlement and the Parties for the purpose of enforcing the terms of this Agreement. The Court also shall retain exclusive jurisdiction over any determination of whether any subsequent suit is released by the Settlement Agreement.
- 19.22 Arms'-Length Negotiation. The Parties agree that the amounts paid and the other terms of the Settlement were negotiated at arm's length and in good faith by the Parties, and reflect the Settlement that was reached voluntarily after extensive negotiations and consultation with experienced legal counsel, who were fully competent to assess the strengths and weaknesses of their respective clients' claims or defenses.
- 19.23 Independent Investigation and Decision to Settle. The Parties understand and acknowledge they: (a) have performed an independent investigation of the allegations of fact and law made in connection with this Action; and (b) that even if they may hereafter discover facts in addition to, or different from, those that they now know or believe to be true with respect to the subject matter of the Action as reflected in this Agreement, that will not affect or in any respect limit the binding nature of this Agreement. All Parties recognize and acknowledge they reviewed and analyzed data that they and their experts used to make certain determinations, arguments, and settlement positions. The Parties agree this Settlement is fair, reasonable, and adequate, and will not attempt to renegotiate or otherwise void or invalidate or terminate the Settlement irrespective of what any unexamined data

later shows. Notwithstanding the foregoing, this Settlement is contingent on the size of the Settlement Class not deviating by five (5) percent or more from the 1,975,066 individuals Arietis represented the Settlement Class to be. If the size of the Settlement Class deviates by five (5) percent or more, then Class Counsel, will have the right, at its option, to terminate the Settlement Agreement pursuant to Section 16. Class Counsel shall advise Defendant's Counsel and the Court, in writing of this election within ten (10) business days of discovering the deviation.

19.24 Receipt of Advice of Counsel. Each Party acknowledges, agrees, and specifically warrants that he, she, or it has fully read this Agreement and the Releases contained herein, received independent legal advice with respect to the advisability of entering into this Agreement and the Releases, and the legal effects of this Agreement and the Releases, and fully understands the effect of this Agreement and the Releases.

19.25 No Collateral Attack. The Settlement Agreement shall not be subject to collateral attack, including by any Settlement Class Member or any recipient of notices of the Settlement after the Judgment is entered.

**[THIS SECTION INTENTIONALLY LEFT BLANK.
SIGNATURES ON FOLLOWING PAGE.]**

WE AGREE TO THESE TERMS:

**Class Counsel on behalf of the
Settlement Class Representatives and
the Settlement Class:**

Defendant Arietis Health, LLC:



E. Michelle Drake
Date: 8/12/24



Gary F. Lynch
Date: 8/5/24



Douglas J. McNamara
Date: 8/12/2024



Karen H. Riebel
Date: 08/08/2024



Charles E. Schaffer
Date:

Ashwini Kotwal

Name: Ashwini Kotwal
Title: CEO
Date: 8/1/2024

Exhibit A

Your claim must be submitted online or postmarked by:
[MONTH, XX, XXXX]

CLAIM FORM FOR ARIETIS HEALTH, LLC MOVEIT SECURITY INCIDENT SETTLEMENT

ARIETIS HEALTH, LLC

[insert case]

USE THIS FORM ONLY IF YOU ARE A MEMBER OF THE SETTLEMENT CLASS TO MAKE A CLAIM FOR IDENTITY THEFT PROTECTION AND CREDIT MONITORING SERVICES AND/OR COMPENSATION FOR UNREIMBURSED LOSSES

GENERAL INSTRUCTIONS

If you were notified by Arietis Health, LLC that your personally identifying information and/or protected health information was impacted by Arietis's MOVEit-related security incident that resulted from an alleged vulnerability in the third-party file transfer software, MOVEit Transfer (the "Security Incident"), you are a member of the Settlement Class and eligible to complete this Claim Form to receive one or more of the following: (1) compensation for documented unreimbursed out-of-pocket expenses related to the Security Incident, up to \$5,000; (2) lost time spent responding to the Security Incident at \$25 per hour, up to \$100; and (3) medical data monitoring, credit monitoring, and identity theft protection services.

Reimbursement for Out-of-Pocket Losses include the following:

1. Out-of-pocket expenses incurred as a result of the Security Incident, including bank fees, long distance phone charges, cell phone charges (only if charged by the minute), data charges (only if charged based on the amount of data used), postage, or gasoline for local travel;
2. Fees for credit reports, credit monitoring, or other identity theft insurance product purchased on or after May 31, 2023 through **[insert end of Claims Period]**; and
3. Monetary losses, professional fees including attorneys' fees, accountants' fees, and fees for credit repair services incurred as a result of the Security Incident.

Compensation for the above losses will only be paid if:

- The loss is an actual, documented, and unreimbursed monetary loss;
- The loss was more likely than not caused by the Security Incident;
- The loss occurred between May 31, 2023 and **[insert end of Claims Period]**; and
- Documentation of the claimed losses is not "self-prepared." Self-prepared documents, such as handwritten receipts, are, by themselves, insufficient to receive reimbursement.

Compensation for Lost Time includes: reimbursement for lost time spent dealing with the Security Incident compensated at a rate of \$25 per hour for up to \$100. To receive compensation for such losses, you must attest to those losses and provide a narrative description of the time spent.

In addition to recovery of Out-of-Pocket Losses and Lost Time, Settlement Class may elect to enroll in medical data monitoring, credit monitoring, and identity theft protection services.

Please read the claim form carefully and answer all questions. Failure to provide the required information could result in a denial of your claim.

This Claim Form may be submitted electronically *via* the Settlement Website at **[website]** or completed and mailed to the address below. Please type or legibly print all requested information, in blue or black ink. Mail your completed Claim Form, including any supporting documentation, by U.S. mail to:

Your claim must be submitted online or postmarked by: **[MONTH, XX, XXXX]**

CLAIM FORM FOR ARIETIS HEALTH, LLC MOVEIT SECURITY INCIDENT SETTLEMENT

ARIETIS HEALTH, LLC

[insert case]

[INSERT SETTLEMENT ADMINISTRATOR ADDRESS]

I. CLASS MEMBER NAME AND CONTACT INFORMATION

Provide your name and contact information below. You must notify the Settlement Administrator if your contact information changes after you submit this form.

First Name

Last Name

Street Address

City

State

Zip Code

Email Address

Telephone Number

II. PROOF OF CLASS MEMBERSHIP

Enter the Claimant ID Number provided on your Notice:

Claimant ID Number

III. COMPENSATION FOR OUT-OF-POCKET LOSSES

Members of the Settlement Class who submit a valid claim using this Claim Form are eligible for reimbursement of the following **documented** out-of-pocket expenses, not to exceed \$5,000 as a result of the Security Incident:

Cost Type (Fill all that apply)	Approximate Date of Loss	Amount of Loss
<input type="radio"/> Out-of-pocket expenses incurred as a result of the MOVEit Security Incident, including bank fees, long distance phone charges, cell phone	<input type="text"/> / <input type="text"/> / <input type="text"/> (mm/dd/yy)	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Questions? Go to **[website]** or call **[inset phone number]**.

Your claim must be submitted online or postmarked by: **[MONTH, XX, XXXX]**

CLAIM FORM FOR ARIETIS HEALTH, LLC MOVEIT SECURITY INCIDENT SETTLEMENT

ARIETIS HEALTH, LLC

[insert case]

Cost Type (Fill all that apply)	Approximate Date of Loss	Amount of Loss
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charges (if charged by the minute), data charges (only if charged based on the amount of data used), postage, or gasoline for local travel.

Examples of Supporting Documentation: *Phone bills, gas receipts, postage receipts; list of locations to which you traveled (e.g., police station, IRS office), why you traveled there (e.g., police report or letter from IRS) and number of miles traveled.*

Fees for credit reports, credit monitoring, or other identity theft insurance product purchased on or after May 31, 2023 through **[insert end of Claims Period]**.

/ /
 (mm/dd/yy)

\$.

Examples of Supporting Documentation: *Receipts or account statements reflecting purchases made for Credit Monitoring or Identity Theft Insurance Services.*

Other monetary losses relating to fraud or identity theft, professional fees including attorneys' fees, accountants' fees, and fees for credit repair services, incurred as a result of the Security Incident.

/ /
 (mm/dd/yy)

\$.

Examples of Supporting Documentation: *Invoices or statements reflecting payments made for professional fees/services.*

V. COMPENSATION FOR LOST TIME

Members of the Settlement Class who have spent time dealing with the Security Incident may claim up to four (4) hours for lost time at a rate of \$25 per hour.

Hours claimed (up to 3):

- 1 Hour (\$25)
 2 Hours (\$50)
 3 Hours (\$75)
 4 Hours (\$100)

Narrative Description of Time Spent in Response to the Security Incident:

Questions? Go to **[website]** or call **[inset phone number]**.

Your claim must be submitted online or postmarked by: [MONTH, XX, XXXX]

CLAIM FORM FOR ARIETIS HEALTH, LLC MOVEIT SECURITY INCIDENT SETTLEMENT

[insert case]

ARIETIS HEALTH, LLC

Attestation (You must check the below to obtain compensation for lost time)

I attest and affirm to the best of my knowledge and belief that any claimed lost time was spent related to the Security Incident between May 31, 2023 and [insert end of Claims Period].

VI. MEDICAL DATA MONTIORING, CREDIT MONITORING AND IDENTITY THEFT PROTECITON

Settlement Class Members who submit a timely claim may elect to be signed up for medical data monitoring, credit monitoring, and identity theft protection services. This benefit is in addition to a claim for reimbursement of out-of-pocket losses, lost time, or both. To claim this alternative cash payment, please check the box below.

Check this box if you wish to receive to be signed up for medical fraud and identity theft monitoring.

VII. PAYMENT SELECTION

Please select one of the following payment options, which will be used should you be eligible to receive a settlement payment:

PayPal - Enter your PayPal email address:

Venmo - Enter the mobile number associated with your Venmo account:

Zelle - Enter the mobile number or email address associated with your Zelle account:

Mobile Number: or Email Address:

Physical Check - Payment will be mailed to the address provided above.

VIII. MEDICARE BENEFICIARY

Were you a Medicare beneficiary during the time period of May 31, 2023 to the present? (check one)

Questions? Go to [website] or call [inset phone number].

Your claim must be submitted online or postmarked by: [MONTH, XX, XXXX]

CLAIM FORM FOR ARIETIS HEALTH, LLC MOVEIT SECURITY INCIDENT SETTLEMENT

ARIETIS HEALTH, LLC

[insert case]

- Yes No

If you are a Medicare beneficiary receiving more than \$750 under this settlement, the Settlement Administrator may need to contact you for additional information related to Medicare reporting requirements.

IX. ATTESTATION & SIGNATURE

I swear and affirm under penalty of perjury that the information I have supplied in this Claim Form is true and correct to the best of my recollection, and that this form was executed on the date set forth below.

Signature

Printed Name

Date

Questions? Go to [website] or call [inset phone number].

Exhibit B

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF MASSACHUSETTS**

IN RE: MOVEIT CUSTOMER DATA
SECURITY BREACH LITIGATION

MDL No. 1:23-md-03083-ADB-PGL

Judge Allison D. Burroughs

This Document Relates To:

1:23-cv-13014-ADB
1:23-cv-13015-ADB
1:23-cv-13026-ADB
1:23-cv-13019-ADB
1:23-cv-13018-ADB
1:23-cv-13020-ADB
1:23-cv-12524-ADB
1:23-cv-13025-ADB
1:23-cv-12736-ADB
1:24-cv-10031-ADB
1:23-cv-13077-ADB

[PROPOSED] JUDGMENT AND FINAL APPROVAL

WHEREAS, a Settlement Agreement, dated as of August 12, 2024 (the “Settlement Agreement”), was made and entered into by and among the following Parties: (i) Plaintiffs Danielle Schafer, Eliot Frankenberger, Gina Sligh, Charles Gentry, Robbin Zeigler, Kevan Seidner, Judy Paynter, Keith Sennefelder, David L. Pratt, Joyce Oguin, Timothy Hayden, Ahmad Hakemi, and Don Swekoski, Jr. (collectively, the “Settlement Class Representatives”), individually and on behalf of the Settlement Class Members, by and through E. Michelle Drake of Berger Montague, PC, Gary F. Lynch of Lynch Carpenter, LLP, Douglas J. McNamara of Cohen Milstein Sellers & Toll PLLC, Karen H. Riebel of Lockridge Grindal Nauen PLLP, and Charles E. Schaffer of Levin Sedran & Berman LLP (collectively, “Class Counsel”); and (ii) Arietis Health, LLC (“Arietis” or “Defendant”), for the benefit of all Defendant Released Parties; and

WHEREAS, on _____ 2024, the Court entered an Order of Preliminary Approval Order (“Preliminary Approval Order”) that, among other things, (a) preliminarily certified, pursuant to Federal Rule of Civil Procedure 23, a class for the purposes of settlement only; (b) approved the form of notice to Settlement Class Members, and the method of dissemination thereof; (c) directed that the notice of the Settlement be disseminated to the Settlement Class; and (d) set a hearing date for final approval of the Settlement; and

WHEREAS, the notice to the Settlement Class ordered by the Court has been disseminated as ordered, according to the declaration of the Settlement Administrator filed with the Court on _____; and

WHEREAS, the CAFA Notice ordered by the Court has been provided, according to the Notice of Filing filed with the Court on _____; and

WHEREAS, on _____, a final approval hearing was held on whether the settlement set forth in the Settlement Agreement was fair, reasonable, adequate, and in the best interests of the Settlement Class, such hearing date being a due and appropriate number of days after such notice to the Settlement Class and the requisite number of days after CAFA notice was issued; and

NOW THEREFORE, having reviewed and considered the submissions presented with respect to the settlement set forth in the Settlement Agreement and the record in these proceedings, having heard and considered the evidence presented by the parties and any non-party objectors, as well as the arguments of counsel, and having determined that the settlement set forth in the Settlement Agreement is fair, reasonable, adequate, and in the best interests of the Settlement Class;

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED:

1. The Court incorporates by reference the definitions set forth in the Settlement Agreement and the Preliminary Approval Order.

2. The Court finds it has personal and subject-matter jurisdiction over this matter, the Parties, and all Settlement Class Members.

3. The Settlement was entered into in good faith following arm's length negotiations and is non-collusive.

4. The Settlement is, in all respects, fair, reasonable, and adequate, and is in the best interests of the Settlement Class, and is therefore approved. The Court finds that the Parties faced significant risks, expenses, delays and uncertainties, including as to the outcome, of continued litigation of this complex matter, which further supports the Court's finding that the Settlement is fair, reasonable, adequate and in the best interests of the Settlement Class Members. The Court finds that the uncertainties of continued litigation in both the trial and appellate courts, as well as the expense associated with it, weigh in favor of approval of the Settlement.

5. This Court grants final approval of the Settlement, including but not limited to the releases in the Settlement and the plans for distribution of the settlement relief, including the Settlement Benefits Plan. The Court finds that the Settlement is in all respects fair, adequate and reasonable, including with respect to its opt-out provisions, and in the best interest of the Settlement Class. Therefore, all Settlement Class Members who have not opted out are bound by the Settlement and this Final Approval Order and Judgment.

6. The Settlement Agreement, and each and every term and provision thereof, shall be deemed incorporated herein as if explicitly set forth herein and shall have the full force and effect of an order of this Court.

7. Settlement Representatives, Defendant, the Settlement Administrator, and Settlement Class Members shall consummate the Settlement according to the terms of the Settlement Agreement.

Objections and Opt-Outs

8. ___ objections were filed by Settlement Class Members. The Court has considered all objections and finds the objections do not counsel against Settlement approval and the objections are hereby overruled in all respects.

9. All persons who have not objected to the Settlement in the manner provided in the Settlement are deemed to have waived any objections to the Settlement, including but not limited to by appeal, collateral attack, or otherwise.

10. A list of those Settlement Class Members who have timely and validly elected to opt out of the Settlement and the Settlement Class in accordance with the requirements in the Settlement (the “Opt-Out Members”) has been submitted to the Court in the Declaration of _____, filed in advance of the final approval hearing. That list is attached as Exhibit A to this Order. The persons listed in Exhibit A are not bound by the Settlement, this Final Approval Order and Judgment, and are not entitled to any of the benefits under the Settlement. Opt-Out Members listed in Exhibit A shall be deemed not to be Plaintiff Released Parties.

Class Certification

11. For purposes of the Settlement and this Final Approval Order and Judgment, the Court hereby finally certifies for settlement purposes only the following Settlement Class:

All persons in the United States who provided their personal information and/or personal health information—including: (1) dates of birth; (2) Social Security Numbers; (3) driver’s license numbers; (4) parent’s maiden names; (5) digital

signatures; (6) medical record numbers; (7) patient account numbers; (8) Medicare numbers; (9) Medicaid numbers; (10) health insurance account and group numbers; (11) medical history information; (12) medical diagnosis information; (13) medical treatment/procedure information; (14) medical provider information; (15) clinical information; and (16) prescription information—to Arietis, directly or indirectly, and whose personal identifying information and/or personal health information was included in files affected by the MOVEit Security Incident.

Excluded from the Settlement Class are: (i) Arietis, and Arietis’s officers, directors, legal representatives, successors, subsidiaries, and assigns; (ii) any judge, justice, or judicial officer presiding over the Actions and the members of their immediate families and judicial staff; and (iii) any individual who timely and validly opts out of the Settlement.

12. The Court determines that, for settlement purposes only, the Settlement Class meets all of the requirements of Federal Rule of Civil Procedure 23(a), (b)(2), and (b)(3), namely that the Settlement Class is so numerous that joinder of all members is impractical; that there are common issues of law and fact; that the claims of the Settlement Class Representatives are typical of absent Settlement Class Members; that the Settlement Class Representatives have and will fairly and adequately protect the interests of the Settlement Class as they have no interests antagonistic to or in conflict with the Settlement Class and have retained experienced and competent counsel to prosecute this matter; that common issues predominate over any individual issues; and that a class action is the superior means of adjudicating the controversy.

13. For settlement purposes only, the Court grants final approval to the appointment of Plaintiffs Danielle Schafer, Eliot Frankenberger, Gina Sligh, Charles Gentry, Robbin Zeigler,

Kevan Seidner, Judy Paynter, Keith Sennefelder, David L. Pratt, Joyce Oguin, Timothy Hayden, Ahmad Hakemi, and Don Swecoski, Jr. as the Settlement Class Representatives. The Court concludes that the Settlement Class Representatives have fairly and adequately represented the Settlement Class and will continue to do so.

14. For settlement purposes only, the Court grants final approval to the appointment, pursuant to Rule 23(g), of E. Michelle Drake of Berger Montague, PC, Gary F. Lynch of Lynch Carpenter, LLP, Douglas J. McNamara of Cohen Milstein Sellers & Toll PLLC, Karen H. Riebel of Lockridge Grindal Nauen PLLP, and Charles E. Schaffer of Levin Sedran & Berman LLP as Class Counsel for the Settlement Class.

Notice to the Settlement Class

15. The form, content, and method of dissemination of the notice given to the Settlement Class were adequate and reasonable, and constituted the best notice practicable under the circumstances. The notice, as given, provided valid, due, and sufficient notice of the proposed settlement, the terms and conditions set forth in the Settlement Agreement, their right to exclude themselves, their right to object to the Settlement and appear at the Final Approval Hearing, and of these proceedings to all persons entitled to such notice, and said notice fully satisfied the requirements of Federal Rule of Civil Procedure 23, constitutional due process, and any other legal requirements.

16. The CAFA Notice provided by the Settlement Administrator met all requirements of the Act.

17. The Settlement Class Representatives and Class Counsel fairly and adequately represented the interests of Settlement Class Members in connection with the settlement set forth in the Settlement Agreement.

18. [All objections to the settlement set forth in the Settlement Agreement having been considered and having been found either to be mooted by the settlement or not supported by credible evidence, the settlement set forth in the Settlement Agreement is in all respects, fair, adequate, reasonable, proper, and in the best interests of the Settlement Class, and is hereby approved.]

19. Every Settlement Class Member who exercised their right to opt out of the Settlement is hereby excluded from the Settlement Class.

20. Each Released Claim of each Plaintiff Released Party is hereby extinguished as against the Defendant Released Parties.

Award of Attorneys' Fees and Service Awards

21. The Court having considered Plaintiffs' Motion for Attorneys' Fees and Expenses hereby grants the Motion and awards Class Counsel (as well as any agents, vendors or experts with which they may have worked on this matter) \$_____ for their fees and expenses in the case, hereby extinguishing any claims for any such fees, costs or expenses as against the Defendant Released Parties. Class Counsel's fee and expense award shall be paid in accordance with the Settlement Agreement. The Court finds the amount of fees and expenses to be fair and reasonable.

22. The Court grants Settlement Class Representatives the Service Awards in the amount of \$2,500 each. The Service Awards shall be paid in accordance with the Settlement Agreement. The Court finds that these payments are justified by their service to the Settlement Class.

23. This award of attorneys' fees and costs, and Service Awards is independent of the Court's consideration of the fairness, reasonableness, and adequacy of the Settlement.

Other Provisions

24. The Parties to the Settlement shall carry out their respective obligations thereunder.

25. Within the time period set forth in the Settlement, the relief provided for in the Settlement shall be made available to the Settlement Class Members submitting valid Claim Forms, pursuant to the terms and conditions of the Settlement.

26. As of the Effective Date, the Plaintiff Released Parties release any and all liabilities, claims, causes of action, damages, penalties, costs, attorneys' fees, losses or demands, whether known or unknown, existing or suspected or unsuspected, that were or reasonably could have been asserted against Defendant Released Parties and relate to the MOVEit Security Incident. Excluded from the Released Claims are any claims against Progress. Also excluded from Released Claims are any claims against NorthStar Anesthesia or any of its affiliated entities and any other Arietis Client.

27. The Settlement Class Representatives and Settlement Class Members are enjoined from prosecuting any Released Claims in any proceeding against any of the Defendant Released Parties or prosecuting any claim based on any actions taken by any of the Defendant Released Parties that are authorized or required by this Settlement or by the Final Approval Order and the Judgment. The Settlement and/or this Final Approval Order and the Judgment may be pleaded as a complete defense to any proceeding subject to this section.

28. This Final Approval Order, the Judgment and the Settlement, and all acts, statements, documents, and proceedings relating to the Settlement are not, and shall not be construed as, used as, or deemed to be evidence of, an admission by or against Defendant of any claim, any fact alleged in the Litigation, any fault, any wrongdoing, any violation of law, or any

liability of any kind on the part of Defendant or of the validity or certifiability as a class for litigation of any claims that have been, or could have been, asserted in the Litigation.

29. This Final Approval Order, the Judgment, the Settlement, and all acts, statements, documents, and proceedings relating to the Settlement shall not be offered, received, or admissible in evidence in any action or proceeding, or be used in any way as an admission, concession or evidence of any liability or wrongdoing of any nature or that Plaintiff or any Settlement Class Member has suffered any damage; *provided, however*, that nothing in the foregoing, the Settlement, this Final Approval Order or the Judgment shall be interpreted to prohibit the use of the Settlement or this Final Approval Order and Judgment in a proceeding to consummate or enforce the Settlement or this Final Approval Order and the Judgment (including all releases in the Settlement and Final Approval Order and the Judgment), or to defend against the assertion of any Released Claims in any other proceeding, or as otherwise required by law.

30. The Settlement's terms shall be forever binding on, and shall have *res judicata* and preclusive effect in, all pending and future lawsuits or other proceedings as to Released Claims (and other prohibitions set forth in this Final Approval Order and the Judgment) that are brought, initiated, or maintained by, or on behalf of, any Settlement Class Member who has not opted out or any other Person subject to the provisions of this Final Approval Order and the Judgment.

31. The Court hereby dismisses the Litigation and all claims therein on the merits and with prejudice as to the Arietis, without fees or costs to any Party except as provided in this Final Approval Order and Judgment.

32. Consistent with the Settlement, in the event the Effective Date does not occur, this Final Approval Order and the Judgment shall be rendered null and void and shall be vacated and, in such event, as provided in the Settlement Agreement; this Final Approval Order and the

Judgment and all orders entered in connection herewith shall be vacated and null and void. All of the Parties' obligations under the Settlement, the Preliminary Approval Order, this Final Approval Order and the Judgment shall cease to be of any force and effect, and the Parties shall return to the status quo ante in the Litigation as if the Parties had not entered into the Settlement. In such an event, the Parties shall be restored to their respective positions in the Litigation as if the Settlement Agreement had never been entered into (and without prejudice to any of the Parties' respective positions on the issue of class certification or any other issue).

33. Without affecting the finality of this Judgment in any way, this Court retains continuing jurisdiction over the Parties and the Settlement Class for the administration, consummation, and enforcement of the terms of the Settlement Agreement as set forth in the Settlement Agreement.

IT IS SO ORDERED.

The Honorable Allison D. Burroughs
United States District Judge

Exhibit C

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF MASSACHUSETTS**

IN RE: MOVEIT CUSTOMER DATA
SECURITY BREACH LITIGATION

MDL No. 1:23-md-03083-ADB-PGL

Judge Allison D. Burroughs

This Document Relates To:

1:23-cv-13014-ADB
1:23-cv-13015-ADB
1:23-cv-13026-ADB
1:23-cv-13019-ADB
1:23-cv-13018-ADB
1:23-cv-13020-ADB
1:23-cv-12524-ADB
1:23-cv-13025-ADB
1:23-cv-12736-ADB
1:24-cv-10031-ADB
1:23-cv-13077-ADB

[PROPOSED] ORDER OF PRELIMINARY APPROVAL

WHEREAS, a Settlement Agreement, dated as of August 12, 2024 (the “Settlement Agreement”), was made and entered into by and among the following Parties: (i) Plaintiffs Danielle Schafer, Eliot Frankenberger, Gina Sligh, Charles Gentry, Robbin Zeigler, Kevan Seidner, Judy Paynter, Keith Sennefelder, David L. Pratt, Joyce Oguin, Timothy Hayden, Ahmad Hakemi, and Don Swecoski, Jr. (collectively, the “Settlement Class Representatives”), individually and on behalf of the Settlement Class Members, by and through E. Michelle Drake of Berger Montague, PC, Gary F. Lynch of Lynch Carpenter, LLP, Douglas J. McNamara of Cohen Milstein Sellers & Toll PLLC, Karen H. Riebel of Lockridge Grindal Nauen PLLP, and Charles E. Schaffer of Levin Sedran & Berman LLP (collectively, “Class Counsel”); and (ii) Arietis Health, LLC (“Arietis” or “Defendant”), for the benefit of all Defendant Released Parties.

NOW THEREFORE, having reviewed and considered the submissions presented with respect to the settlement set forth in the Settlement Agreement and the record in these proceedings, having heard and considered the evidence presented by the parties and the arguments of counsel, having determined preliminarily that the settlement set forth in the Settlement Agreement is fair, reasonable, adequate, and in the best interests of the Class;

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED:

1. The Court incorporates by reference the definitions set forth in the Settlement Agreement.¹
2. The Court finds it has personal and subject-matter jurisdiction over this matter, the Parties, and all Settlement Class Members.

Preliminary Settlement Class Certification

3. The Court certifies, for settlement purposes only, the following Settlement Class pursuant to Fed. R. Civ. P. 23:

All persons in the United States who provided their personal information and/or personal health information—including: (1) dates of birth; (2) Social Security Numbers; (3) driver’s license numbers; (4) parent’s maiden names; (5) digital signatures; (6) medical record numbers; (7) patient account numbers; (8) Medicare numbers; (9) Medicaid numbers; (10) health insurance account and group numbers; (11) medical history information; (12) medical diagnosis information; (13) medical treatment/procedure information; (14) medical provider information; (15) clinical information; and (16) prescription information—to Arietis, directly or indirectly,

¹ All capitalized terms in this Order adopt the definitions of those terms from the Settlement.

and whose personal identifying information and/or personal health information was included in files affected by the MOVEit Security Incident

Excluded from the Settlement Class are: (i) Arietis, and Arietis's officers, directors, legal representatives, successors, subsidiaries, and assigns; (ii) any judge, justice, or judicial officer presiding over the Actions and the members of their immediate families and judicial staff; and (iii) any individual who timely and validly opts out of the Settlement.

4. The Court determines that for settlement purposes only, the proposed Settlement Class likely meets all the requirements of Federal Rules of Civil Procedure ("Rules") 23(a) and (b)(3), namely that the Settlement Class is so numerous that joinder of all members is impractical; that there are common issues of law and fact; that the claims of the Settlement Class Representatives are typical of absent Settlement Class Members; that the Settlement Class Representatives will fairly and adequately protect the interests of the Settlement Class as they have no interests antagonistic to or in conflict with the Settlement Class and have retained experienced and competent counsel to prosecute this matter; that common issues predominate over any individual issues; and that a class action is the superior means of adjudicating the controversy.

5. For purposes of settlement only, Plaintiffs Danielle Schafer, Eliot Frankenberger, Gina Sligh, Charles Gentry, Robbin Zeigler, Kevan Seidner, Judy Paynter, Keith Sennefelder, David L. Pratt, Joyce Oguin, Timothy Hayden, Ahmad Hakemi, and Don Swecoski, Jr. are preliminarily appointed as the Settlement Class Representatives.

6. For purposes of settlement only, the Court preliminarily appoints, pursuant to Rule 23(g), E. Michelle Drake of Berger Montague, PC, Gary F. Lynch of Lynch Carpenter, LLP, Douglas J. McNamara of Cohen Milstein Sellers & Toll PLLC, Karen H. Riebel of Lockridge

Grindal Nauen PLLP, and Charles E. Schaffer of Levin Sedran & Berman LLP as Class Counsel for the Settlement Class.

Reasonableness of the Proposed Settlement

7. The Court finds that: (i) the proposed Settlement resulted from extensive and good-faith negotiations at arms' length overseen by an experienced mediator, Judge Diane M. Welsh (Ret.); (ii) the proposed Settlement is in the best interests of the Settlement Class Members; and (iii) the terms of the proposed Settlement as evidenced by the Settlement Agreement appear to be sufficiently fair, reasonable, and adequate in light of the risks, delays, and expenses of further litigation, warranting notice of the Settlement Agreement to Settlement Class Members, and the scheduling of a final fairness hearing.

8. The Court finds that the proposed Settlement creates an equitable claims process that will allow Settlement Class Members an opportunity to obtain reimbursement for certain types of harm they may have suffered and to receive medical data monitoring, credit monitoring, and identity theft protection services to prevent against the future risk of harm experienced as a result of the events alleged in the Litigation. This consideration appears to be within the range of reasonableness and an adequate exchange for the Settlement Class's release of claims as described in the Settlement Agreement.

9. Accordingly, the Court grants preliminary approval of the Settlement, subject to final approval, and directs the Parties to conduct their plan for Notice as described in the Settlement Agreement.

Notice to the Settlement Class

10. The Court finds that the Notice proposed in the Settlement Agreement, including in form, content, and method: (a) constitutes the best practicable notice to the Settlement Class; (b) is reasonably calculated, under the circumstances, to apprise Settlement Class Members of the

pendency of the Litigation, the terms of the proposed Settlement, and their rights under the proposed Settlement; (c) is reasonable and constitutes due, adequate, and sufficient notice to those persons entitled to receive notice; and (d) satisfies the requirements of Rule 23, the constitutional requirement of due process, and any other legal requirements. The Court further finds that the notices are written in plain language, use simple terminology, and are designed to be readily understandable by Settlement Class Members.

11. The Parties and Settlement Administrator are authorized to make non-material modifications to the Notices and Claim Form, such as proofing and formatting alterations, without further order from this Court.

12. The Court appoints Epiq Class Action & Claims Solutions, Inc. as the Settlement Administrator and orders it to provide Notice to the Settlement Class Members and perform services as set forth in the Settlement Agreement, which includes providing the requisite CAFA Notice as set forth in the Settlement Agreement.

13. The Court orders Defendant to preliminarily fund the Settlement Fund to pay for the Costs of Notice and Administration pursuant to the Settlement Agreement.

14. Within sixty (60) days after the entry of this Order, Defendant shall provide the Settlement Administrator with the Class List containing Settlement Class Members' names, last known addresses, and email addresses, if either or both are available, as reflected in Arietis' records.

15. Within sixty (60) days after receipt of the Class List, the Settlement Administrator shall send, via email to persons listed on the Class List for whom email address has been identified, the Short Form Notice substantially in the form submitted to the Court; and if no valid email address is found for a Settlement Class Member, such Short Form Notice shall be sent by the

Settlement Administrator to the Settlement Class Member's last known mailing address via U.S. mail. The Long Form Notice as well as the Claim Form shall be posted on a Settlement Website to be created by the Settlement Administrator. Further, Defendant shall provide Notice of the Settlement on its website. The Settlement Administrator shall also establish a toll-free phone line for Settlement Class Members to call in order to receive information about the Settlement. Finally, before the end of the Claims Period, the Settlement Administrator shall send the Reminder Notice to all Settlement Class Members who have not submitted a Claim for whom an email address can be found.

Claims Process and Distribution Plan

16. The Settlement establishes a process for assessing and determining the validity of the types of claims available (reimbursement for Out-of-Pocket Losses, compensation for Lost Time, and enrollment in medical data monitoring, credit monitoring, and identity theft and protection services), and a methodology for providing the Settlement benefits to the Settlement Class Members who submit a timely, valid Claim Form. The Court preliminarily approves this process and the proposed Settlement Benefits Plan.

17. Settlement Class Members who qualify for and wish to submit a Claim Form shall do so in accordance with the requirements and procedures specified in the Notice and the Claim Form and must submit a Claim to the Settlement Administrator within ninety (90) days after the Notice Deadline.

Exclusions from the Class

18. Any Settlement Class Member who wishes to be excluded from the Settlement Class must mail a written notification of the intent to exclude themselves to the Settlement Administrator, at the address provided in the Notice, postmarked no later than sixty (60) days after

the Notice Deadline (the “Opt-Out Deadline”) and sent via first class postage pre-paid United States mail. The written request for exclusion must include the name of this Litigation or a decipherable approximation (*In Re: MOVEit Customer Data Security Breach Litigation*, 1:23-md-03083-ADB (D. Mass.) (Arietis Actions)); the full name, address, and telephone number of the Settlement Class Member or the name, address, telephone number, relationship, and signature of any individual who is acting on behalf of a deceased or incapacitated Settlement Class Member; and the words “Opt Out Request” or “Request for Exclusion” at the top of the document or a statement in the body of the document requesting exclusion from the Settlement.

19. All Settlement Class Members who submit valid and timely notices of their intent to be excluded from the Settlement shall not receive any benefits of or be bound by the terms of the Settlement. Any Settlement Class Member who does not timely and validly exclude himself or herself from the Settlement shall be bound by the terms of the Settlement. If final judgment is entered, any Settlement Class Member who has not submitted a timely, valid written notice of exclusion from the Settlement (in accordance with the requirements of the Settlement) shall be bound by all subsequent proceedings, orders, and judgments in this matter, the Settlement including but not limited to the releases set forth in the Settlement Agreement, and the Final Approval Order and Judgment.

Objections to the Settlement

20. A Settlement Class Member who complies with the requirements of this Order may object to the Settlement, the request of Settlement Class Counsel for an award of attorneys’ fees, and expenses, and/or the request for a Service Award.

21. No Settlement Class Member shall be heard and no papers, briefs, pleadings, or other documents submitted by any Settlement Class Member shall be received and considered by

the Court unless the objection is (a) filed with the Court by the Objection Deadline; or (b) mailed first-class postage prepaid to the Clerk of Court and the Settlement Administrator at the addresses listed in the Notice, and postmarked no later than the Objection Deadline, which shall be sixty (60) days after the Notice Deadline, as specified in the Notice. For the objection to be considered by the court, the objection shall set forth:

- a. Clearly identify the case name and number (*In Re: MOVEit Customer Data Security Breach Litigation*, 1:23-md-03083-ADB (D. Mass.) (Arietis Actions));
- b. the full name, address, telephone number, and email address of the person objecting;
- c. the full name, address, telephone number, and email address of the objector's counsel (if the objector is represented by counsel);
- d. state whether the objection applies only to the objector, to a specific subset of the Settlement Class, or to the entire Settlement Class, and also state with specificity the grounds for the objection;
- e. confirm whether the objector or counsel on the objector's behalf will personally appear and/or testify at the Final Approval Hearing; and
- f. provide the objector's signature and the signature of the objector's duly authorized counsel or other duly authorized representative.

22. In addition, any Settlement Class Member who objects to the proposed Settlement must make himself or herself available to be deposed regarding the grounds for the objection and must provide, along with the objection, the dates when the objector will be available to be deposed

during the period from when the objection is filed through the date seven days before the Final Approval Hearing.

23. Any Settlement Class Member who fails to comply with the provisions in this Order will waive and forfeit any and all rights he or she may have to object, and shall be bound by all the terms of the Settlement Agreement, this Order, and by all proceedings, orders, and judgments, including, but not limited to, the releases in the Settlement Agreement, if finally approved. Any Settlement Class Member who both objects to the Settlement and opts out will be deemed to have opted out and the objection shall be deemed null and void.

Stay of Proceedings

24. Except as necessary to effectuate this Order, the above captioned cases and any deadlines set by the Court in this matter are stayed and suspended as to Arietis only pending the Final Approval Hearing and issuance of the Final Approval Order and Judgment, or until further order of this Court.

Continuance of Final Approval Hearing

25. The Court reserves the right to adjourn or continue the Final Approval Hearing and related deadlines without further written notice to the Settlement Class. If the Court alters any of those dates or times, the revised dates and times shall be posted on the Settlement Website maintained by the Settlement Administrator.

Actions by Settlement Class Members

26. Pending the Final Approval Hearing and the issuance of the Judgment and Final Approval Order, the Court stays and enjoins any actions, lawsuits, or other proceedings pending before this or any other Court brought by any Settlement Class Member or Members against Arietis

related to the Released Claims. The Court finds that issuance of this preliminary injunction is necessary and appropriate in aid of the Court's jurisdiction over this action.

Final Approval Hearing

27. Final Approval Hearing shall take place before the Court on _____, 2024 at _____ a.m./p.m. in Courtroom _____ before Judge Allison D. Burroughs of the United States District Court for the District of Massachusetts, John Joseph Moakley U.S. Courthouse 1 Courthouse Way, Boston, Massachusetts 02210, to determine, among other things, whether: (a) the Settlement should be finally approved as fair, reasonable, and adequate and, in accordance with the Settlement Agreement's terms, all claims in the Litigation should be dismissed with prejudice as to the Defendant Released Parties; (b) Settlement Class Members should be bound by the releases set forth in the Settlement Agreement; (c) the proposed Final Approval Order and Judgment should be entered; (d) the application of Class Counsel for an award of attorneys' fees and expenses should be approved; and (e) the application for a Service Awards to the Settlement Class Representatives should be approved. Any other matters that the Court deems necessary and appropriate will also be addressed at the hearing.

28. Settlement Class Counsel shall submit their application for fees, costs, and expenses and the application for Service Awards at least twenty-one (21) days before the Opt-Out/Objection Deadline. Objectors, if any, shall file any response to Class Counsel's motions no later than seventeen (17) days prior to the Final Approval Hearing. By no later than ten (10) days prior to the Final Approval Hearing, responses shall be filed, if any, to any filings by objectors, and any replies in support of final approval of the Settlement Agreement and/or Class Counsel's application for attorneys' and expenses and for Service Award shall be filed.

29. Any Settlement Class Member who has not timely and properly excluded himself or herself from the Settlement Class in the manner described above, may appear at the Final Approval Hearing in person or by counsel and be heard, to the extent allowed by the Court, regarding the proposed Settlement; provided, however, that no Settlement Class Member who has elected to exclude himself or herself from the Class shall be entitled to object or otherwise appear, and, further provided, that no Settlement Class Member shall be heard in opposition to the Settlement unless the Settlement Class Member complies with the requirements of this Order pertaining to objections, which are described above and in the Notice.

30. The Settlement, as preliminarily approved in this Order, shall be administered according to its terms pending the Final Approval Hearing. Deadlines under the Settlement and this Order include but are not limited to the following:

<u>Event</u>	<u>Deadline</u>
Arietis Provides Class List to Settlement Administrator	60 days following entry of this Order
Notice Deadline	60 days after Arietis's provision of the Class List to the Settlement Administrator
Objection and Opt-Out Deadlines	60 days after Notice Deadline
Claim Deadline	90 days after Notice Deadline
Motion for Attorneys' Fees and Expenses and Service Award	21 days prior to the Objection and Opt-Out Deadlines
Motion for Final Approval	30 days prior to the Final Approval Hearing
Objections, if any, to Motion for Final Approval	17 days prior to the Final Approval Hearing
Reply in Support of Motion for Final Approval	10 days prior to the Final Approval Hearing
Final Approval Hearing	at least 210 days after Preliminary Approval

IT IS SO ORDERED.

The Honorable Allison D. Burroughs
United States District Judge

Exhibit D

**Notice of Arietis Health, LLC
MOVEit Security Incident
Class Action Settlement**

**A Settlement has
been proposed in a
class action
lawsuit about a
security incident
involving Arietis
Health, LLC that
potentially
compromised your
personally
identifying
information.**

Settlement Administrator
PO Box xxxxx
City, ST xxxxx-xxxx

<<BARCODE>>
<<ClaimID>>
<<First Name>><<Last Name>>
<<Address1>>
<<Address2>>
<<City>><<ST>><<Zip>>

XXX

A Settlement has been proposed in the class action lawsuits against Arietis Health, LLC (“Defendant” or “Arietis”). The Settlement resolves claims brought by individuals impacted by Arietis Health, LLC’s MOVEit-related security incident that occurred in May 2023 and resulted in the potential compromise of individuals’ personally identifying information (“PII”) and protected health information (“PHI”). Defendant denies any allegation of wrongdoing.

Who’s Included? You are included in the Settlement as a “Settlement Class Member” because you have been identified as a person who received notice from Arietis that your PII and PHI may have been accessed or exposed as a result of Arietis’s use of MOVEit, a file transfer tool, during the MOVEit-related security incident.

What does the Settlement provide? Under the Settlement, Arietis will pay \$2.8 million into a Settlement Fund which will be used to pay all valid claims made by Settlement Class Members, notice and administration costs, service awards, and attorneys’ fees and costs. Settlement Class Members may file a claim to receive one or more of the following: (1) reimbursement for out-of-pocket losses related to the security incident, up to \$5,000; (2) reimbursement of \$25 per hour for lost time spent responding to the security incident, up to \$100; and (3) medical data monitoring, credit monitoring, and identity theft monitoring.

How do I Receive Settlement Benefits? You must complete and submit a Claim Form by **[Month XX, XXXX]**. Claim Forms may also be submitted online at **[Website]** or printed from the website and mailed to the address on the form.

What are my other options? If you do nothing, your rights will be affected, and you won’t be eligible for Settlement benefits. If you don’t want to be legally bound by the Settlement, you must exclude yourself from it by **[Month XX, XXXX]**. Unless you exclude yourself, you won’t be able to sue or continue to sue Arietis or other released parties as defined in the Settlement Agreement for any claim made in this lawsuit or released by the Settlement Agreement. If you stay in the settlement (*i.e.*, don’t exclude yourself), you may object to it or ask for permission for you or your lawyer to appear and speak at the hearing—at your own cost—but you don’t have to. Objections and requests to appear are due by **[Month XX, XXXX]**. More information about these options is available at **[Website]**.

The Court’s hearing. The Court will hold a Final Approval Hearing in this case (*In re MOVEit Customer Data Sec. Breach Litig.*, MDL No. 1:23-md-03083-ABD (D. Mass.)) on **[Month XX, XXXX]**. At the hearing, the Court will decide whether to approve the Settlement; Class Counsel’s request for attorneys’ fees and costs, and service awards to the Settlement Class Representatives (up to \$2,500 each). You or your lawyer may appear at the hearing at your own expense.

Questions? This Notice provides only a high level summary of the Settlement. More detail, including the Settlement Agreement, is provided at **[Website]** or you can call the Settlement Administrator at **[phone number]**.

Exhibit E

Notice of Arietis Health, LLC MOVEit Security Incident Class Action Settlement

If you received notice from Arietis Health, LLC that your personally identifying information and/or protected health information was potentially compromised in Arietis Health, LLC’s MOVEit-related security incident, you may receive a payment or other benefits from a class action settlement.

A federal court has authorized this Notice. This is not a solicitation from a lawyer.

Please read this Notice carefully and completely, as your legal rights are affected whether you act or don’t act.

THIS NOTICE MAY AFFECT YOUR RIGHTS. PLEASE READ IT CAREFULLY.

- A Settlement has been proposed in the class action lawsuits against Arietis Health, LLC (“Defendant” or “Arietis”). The Settlement resolves claims against Arietis brought by a group of individuals whose personally identifying information (“PII”) and protected health information (“PHI”) were provided by data owners to Arietis, and were impacted by the MOVEit security incident, which occurred in May 2023. The MOVEit security incident resulted from an alleged vulnerability in the third-party file transfer software, MOVEit, that Arietis used in its ordinary course of business. Claims against Progress Software Corporation (“Progress”)—the licensor of the MOVEit software—and claims against Anesthesia Consulting & Management, LP (or any of its affiliated entities such as NorthStar Anesthesia) and any other Arietis client have not been resolved and the litigation will continue against those entities.
- Under the proposed Settlement, you may be eligible for one or more of the following: (1) reimbursement of out-of-pocket losses incurred due to the security incident, up to \$5,000; (2) reimbursement of \$25 per hour for lost time incurred due to the security incident, up to \$100; and (3) medical data monitoring, credit monitoring, and identity theft protection services. To receive any reimbursement or to sign up for medical fraud and identity theft monitoring, you must complete and timely submit a Claim Form.
- Please read this notice carefully. Your legal rights will be affected, and you have a choice to make now.

Questions? Go to [\[website\]](#) or call [\[phone number\]](#).

Summary of Your Legal Rights and Options		Deadline
SUBMIT A CLAIM FORM	The only way to receive any of the Settlement benefits.	Online or Postmarked by [Month xx, xxxx].
EXCLUDE YOURSELF BY OPTING OUT	Get no payment. Keep your right to file your own lawsuit against Arietis for the same claims resolved by this Settlement.	Postmarked by [Month xx, xxxx].
OBJECT TO THE SETTLEMENT AND/OR ATTEND A HEARING	Tell the Court the reasons why you do not believe the Settlement should be approved. You can also ask to speak to the Court at the hearing on [Month xx, xxxx] about the fairness of the Settlement, with or without your own attorney.	Received by [Month xx, xxxx].
DO NOTHING	Get no payment and be bound by the terms of the Settlement.	

- These rights and options—**and the deadlines to exercise them**—are explained in this notice.
- The Court in charge of this case still has to decide whether to approve the Settlement. Payments will be made if the Court approves the Settlement after any appeals are resolved.

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BASIC INFORMATION

1. Why did I get this notice?

You received this notice because you have been identified as a person whose PII and PHI may have been accessed or compromised during Arietis Health, LLC's MOVEit-related security incident involving PII and PHI provided by data owners to Arietis. A group of similarly situated individuals brought eleven proposed class action lawsuits against Arietis in 2023, alleging that Arietis was negligent due to its data security practices. Arietis denies the allegations and denies that it would be found liable. The parties have now reached a proposed settlement of the lawsuit.

A court authorized this notice to inform you about your rights under the proposed class action Settlement before the Court decides whether to approve the Settlement. If the Court approves the Settlement, and after objections and appeals are resolved, a Settlement Administrator appointed by the Court will make the payments that the Settlement allows, and the pending legal claims against Arietis and certain others, will be released and dismissed.

This package explains the Arietis lawsuits, the Settlement, your rights, what benefits may be available, who is eligible for them, and how to receive them. Judge Allison D. Burroughs of the United States District Court for the District of Massachusetts is in charge of this litigation which is captioned *In re: MOVEit Customer Data Security Breach Litig.*, MDL No. 1:23-md-03083-ABD (D. Mass.).

2. What is this lawsuit about?

This matter is a putative class action (the "Litigation") arising from Arietis Health, LLC's MOVEit-related security incident from May 28, 2023 through May 31, 2023, during which cybercriminals gained unauthorized access to the MOVEit file transfer software that was used by, among many others, Arietis, resulting in potential access to and theft of certain PII and PHI provided to Arietis by data owners. The litigation asserts claims against Arietis for alleged negligent data security practices.

Defendant denies any allegation of wrongdoing and denies that Plaintiffs would prevail or be entitled to any relief should this matter proceed to be litigated.

3. What is a class action?

In a class action one or more people called "Class Representative(s)" sue on behalf of themselves and other people who have similar claims. This group of people is called the "class," and the people in the class are called "Settlement Class Members" or the "Settlement Class." One court resolves the issues for all Settlement Class Members, except for people who exclude themselves from the class. The person or persons who sued are called the Plaintiff(s). The entity sued—Arietis—is called the Defendant.

4. Why is there a Settlement?

The Court did not decide in favor of Plaintiffs or Defendant. Instead, both sides agreed to a settlement. That way, they avoid the costs and risks of a trial, and Settlement Class Members can get benefits or compensation. The Settlement Class Representatives and Class Counsel think the Settlement is in the best interest of the Settlement Class.

WHO IS IN THE SETTLEMENT?

5. Who is in the Settlement?

The Settlement Class is defined as: all persons in the United States who provided their personal information and/or personal health information—including: (1) dates of birth; (2) Social Security Numbers; (3) driver’s license numbers; (4) parent’s maiden names; (5) digital signatures; (6) medical record numbers; (7) patient account numbers; (8) Medicare numbers; (9) Medicaid numbers; (10) health insurance account and group numbers; (11) medical history information; (12) medical diagnosis information; (13) medical treatment/procedure information; (14) medical provider information; (15) clinical information; and (16) prescription information—to Arietis, directly or indirectly, and whose personal identifying information and/or personal health information was included in files affected by the MOVEit Security Incident.

6. Are there exceptions to being included?

Yes, the following are not included in the Settlement Class: (i) Arietis, and Arietis’s officers, directors, legal representatives, successors, subsidiaries, and assigns; (ii) any judge, justice, or judicial officer presiding over the Actions and the members of their immediate families and judicial staff; and (iii) any individual who timely and validly opts out of the Settlement.

7. What should I do if I am not sure whether I am included?

If you are not sure whether you are included in the Settlement Class, you can ask for free help by calling the Settlement Administrator, Epiq Class Action & Claims Solutions, Inc. (“Epiq”) at [phone number] or you can visit [website] for more information.

THE SETTLEMENT BENEFITS

8. What does the Settlement Provide?

Under the Settlement, Arietis will pay \$2,800,000 into a Settlement Fund which will be used to pay all valid claims made by Settlement Class Members, notice and administration costs, service awards to the Settlement Class Representatives, and Class Counsel’s attorneys’ fees and expenses. Settlement Class Members may file a claim for one or more of the following: (1) reimbursement of out-of-pocket losses incurred due to the security incident, up to \$5,000; (2) reimbursement of \$25 per hour for lost time incurred due to the security incident, up to \$100; and (3) medical data monitoring, credit monitoring, and identity theft protection services.

9. What can I get from the Settlement?

Settlement Class Members may file a claim for one or more of the following settlement benefits:

Reimbursement of Out-of-Pocket Losses: In addition to the other claims available under the Settlement, Settlement Class Members may file a claim for reimbursement of up to \$5,000 in compensation for documented monetary losses. In order to receive reimbursement of out-of-pocket losses, the loss must: (1) be an actual, documented, and unreimbursed monetary loss; (2) the loss was more likely than not caused by the MOVEit Security Incident; and (3) the loss occurred between May 31, 2023, and the close of the Claims Period. Any claim for Out-of-Pocket Losses must include sufficient documentation establishing the amount of out-of-pocket losses claimed.

Reimbursement for Time Spent Claims: In addition to the other claims available under the Settlement, Settlement Class Members may submit a claim for \$25 per hour for lost time dealing with the Security Incident, up to \$100. Settlement Class Members must attest to the lost time and provide a narrative description detailing the time spent in response to the security incident.

Medical Data Monitoring, Credit Monitoring, and Identity Theft Protection Services: In addition to the other claims available under the Settlement, Settlement Class Members may also elect to enroll in four (4) years of medical and credit monitoring services that will include, among other services: (a) healthcare insurance plan ID monitoring that tracks and alerts when a plan ID is exposed on the dark web; (b) Medical Record Number (MRN) monitoring that alerts when a medical record number is detected on the dark web; (c) National Provider Identifier (NPI) monitoring to track and alert when registered licensing credentials are found on the dark web; (d) Medicare Beneficiary Identifier (MBI) that alerts when MBI has been disclosed on the dark web; (e) International Classification of Diseases (ICD) monitoring, which notifies when an ICD Code is detected on the dark web; (f) health savings account monitoring, which monitors registered health savings accounts for unusual or unauthorized transactions; and (g) \$1 million of medical identity theft insurance with no deductible. Settlement Class Members who elect to enroll in medical monitoring services will also receive four years of one bureau credit monitoring.

10. What am I giving up if I stay in the Class?

If you are a Settlement Class Member and you do not exclude yourself from the Settlement, you will give up your right to sue, continue to sue, or be part of any other lawsuit against Defendant and other released parties concerning the claims released by this Settlement. The “Releases” section in the Settlement Agreement describes the legal claims that you give up if you remain in the Settlement Class. The entire text of the Settlement Agreement can be viewed at [\[website\]](#).

How to Receive the Settlement Benefits– Making A Claim

11. How can I obtain the Settlement’s benefits?

You must complete and submit a Claim Form by [\[Month XX, XXXX\]](#). Claim Forms may be submitted online at [\[website\]](#) or printed from the website and mailed to the address on the form.

Be sure to read the Claim Form instructions carefully, include all required information, and your signature.

The Settlement Administrator will review your claim to determine the validity of your payment.

12. How much will my payment be?

The amount of your payment will depend on whether you are seeking out-of-pocket losses, reimbursement for loss time, or medical data monitoring, credit monitoring and identity theft monitoring.

If you submit a valid claim for out-of-pocket losses, you may receive the amount of your documented losses, up to \$5,000. To document your losses, you must enclose or upload documentation sufficient to show (1) the amount of unreimbursed loss that you suffered, and (2) why you believe that the loss is reasonably attributable to the MOVEit Security Incident. Documents for financial expenses may include credit card or bank statements, emails, invoices, receipts, or telephone records, including photographs of the same. Personal statements or declarations are not considered reasonable documentation, but they may be used to provide clarification, context, or support for other documentation.

If you submit a valid claim for lost time, you may receive \$25 per hour for each hour you spent in response to Arietis's MOVEit-related Security Incident, up to \$100. To support a claim for lost time, you must attest to the amount of time spent and provide a narrative description of the time spent in response to the Security Incident.

If you submit a valid claim for medical data monitoring, credit monitoring, and identity theft protection services, you will not receive any payment from the Settlement Fund, unless you also submit a claim for out-of-pocket losses, lost time, or both.

13. When will I Receive my Settlement Benefits?

The Court will hold a Final Approval Hearing on _____ at _____ a.m., to decide whether to approve the Settlement. Benefits will be made available after the Settlement is approved and becomes final (meaning there is no appeal from the order approving the Settlement or all appeals have been rejected). Updates regarding the Settlement will be posted on the Settlement Website, [website].

THE LAWYERS REPRESENTING YOU

14. Do I have a lawyer in this case?

The Court appointed E. Michelle Drake of Berger Montague, PC, Gary F. Lynch of Lynch Carpenter, LLP, Douglas J. McNamara of Cohen Milstein Sellers & Toll PLLC, Karen H. Riebel of Lockridge Grindal Nauen PLLP, and Charles E. Schaffer of Levin Sedran & Berman LLP as attorneys to represent the Settlement Class. These lawyers are called Class Counsel. You will not be charged for their services.

15. Should I get my own lawyer?

If you want your own lawyer, you may hire one, but you will be responsible for any payment for that lawyer's services. For example, you can ask your own lawyer to appear in court for you if you

want someone other than Class Counsel to speak for you. You may also appear for yourself without a lawyer.

16. How will the lawyers be paid?

The attorneys representing the Settlement Class have not yet received any payment for their legal services or any reimbursement of the costs or out-of-pocket expenses they have incurred. Class Counsel plans to ask the Court for an award of attorneys' fees and expenses of up to twenty-five (25) percent of the Settlement Fund. Class Counsel will file their request for attorneys' fees and expenses as a percentage of the Settlement Fund.

The Settlement Class is represented by the named plaintiffs (the "Settlement Class Representatives"). In addition to the benefits that the Settlement Class Representatives will receive as members of the Settlement Class – and subject to the approval of the Court – Class Counsel will request service awards, not to exceed \$2,500 each, to each of the Settlement Class Representatives for the efforts they have expended on behalf of the Settlement Class.

The Court will determine whether to approve the amount of attorneys' fees and expenses requested by Class Counsel and the proposed service awards to the Class Representatives. Class Counsel will file an application for attorneys' fees and expenses, and service awards no later than [Month xx, xxxx]. The application will be available on the Settlement Website, [website], or you can request a copy by contacting the Settlement Administrator.

EXCLUDING YOURSELF FROM THE SETTLEMENT

17. How do I get out of the Settlement?

If you are a Settlement Class Member and you do not want the benefits from the Settlement, and you want to keep your right, if any, to sue Defendant on your own about the legal issues in this case, then you must take steps to get out of the Settlement. This is called excluding yourself from—or "opting out" of—the Settlement Class.

You may opt out of the Settlement by [Month xx, xxxx]. To opt out, you must send a letter or postcard via U.S. mail to the address below. You must include the following in your letter or postcard:

- The name of this Litigation, or a decipherable approximation (*In Re: MOVEit Customer Data Security Breach Litigation*, 1:23-md-03083-ADB (D. Mass.) (Arietis Actions));
- Your full name, address, telephone number, and signature;
- The words "Opt-Out" or "Requests for Exclusion" at the top of the document or a statement that you want to opt out of the settlement; and
- If you are filing a request for exclusion on behalf of an incapacitated or deceased Settlement Class Member for whom you are legally authorized to act, you must include your name,

address, phone number, signature, and relationship to the Settlement Class Member, as well as that person's name and address.

You must mail your opt-out request via First-Class postage prepaid U.S. Mail, postmarked no later than [Month xx, xxxx] to:

[Insert Settlement Administrator Address]

If you fail to include the required information, your request will be deemed invalid and you will remain a Settlement Class Member and be bound by the Settlement, including all releases.

18. If I am a Settlement Class Member and don't opt out, can I sue the Defendant for the same thing later?

No. You must opt out of the Settlement to keep your right to sue Defendant or other released parties for any of the claims resolved by the Settlement.

19. What happens if I opt out?

If you opt out of the Settlement, you will not have any rights as a member of the Settlement Class. You cannot submit a Claim Form and you will not receive any benefits included in the Settlement. You will not be bound by the Settlement, releases, or by any further orders or judgments in this case. You will keep the right, if any, to sue on the claims alleged in the case at your own expense.

In addition, if you opt out of the Settlement, you cannot object to this Settlement because the Settlement no longer affects you. If you object to the Settlement and request to exclude yourself, your objection will be voided and you will be deemed to have excluded yourself.

COMMENTING ON OR OBJECTING TO THE SETTLEMENT

20. How do I tell the Court if I don't like the Settlement?

If you are a Settlement Class Member and you do not opt out of the Settlement, you can object to the Settlement if you do not think it is fair, reasonable, or adequate. You can give reasons why you think the Court should not approve it. You cannot ask the Court to change or order a different settlement; the Court can only approve or deny this Settlement. If the Court denies approval, no settlement payments will be sent out and the lawsuit will continue. If that is what you want to happen, you must object.

You may object to any part of the proposed Settlement in writing. You may also appear at the Final Approval Hearing, either in person or through your own attorney. If you appear through your own attorney, you are responsible for paying that attorney.

Your objection must be in writing and must:

- Clearly identify the case name and number (*In Re: MOVEit Customer Data Security Breach Litigation*, 1:23-md-03083-ADB (D. Mass.) (Arietis Actions));

- Include your full name, address, telephone number, and email address;
- Include the full name, address, telephone number, and email address of your counsel (if you are represented by counsel);
- State whether the objection applies only to you, to a specific subset of the Class, or to the entire Class, and also state with specificity the grounds for the objection;
- Confirm whether you intend to personally appear and/or testify at the Final Approval Hearing and if so, whether you are or will be represented by counsel; and
- Provide your signature and the signature of your duly authorized counsel or other duly authorized representative.

Any objection must be either filed electronically with the Court or mailed to the Clerk of Court, and the Settlement Administrator at the addresses set forth below. The objection must be filed with the Court—or if mailed it must be postmarked—no later than [Month xx, xxxx].

United States District Court for the District of Massachusetts Clerk of Court John Joseph Moakley U.S. Courthouse 1 Courthouse Way, Suite 2300 Boston, Massachusetts 02210	[Insert Settlement Administrator Address]
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21. What’s the difference between objecting and opting out?

Objecting is telling the Court that you don’t like something about the Settlement. You can object to the Settlement only if you are a Settlement Class Member and do not opt out of the Settlement. Opting out of the Settlement is telling the Court that you don’t want to be part of the Settlement. If you opt out of the Settlement, you cannot object to it because it does not affect you.

THE COURT’S FINAL APPROVAL HEARING

22. When and where will the Court decide whether to approve the settlement?

The Court will hold a Final Approval Hearing at _____ a.m. on _____, in Courtroom 17, 5th Floor at the federal courthouse located at 1 Courthouse Way, Boston, Massachusetts 02210 before Judge Allison D. Burroughs. At this hearing, the Court will consider whether the Settlement is fair, reasonable, and adequate; Class Counsel’s application for attorneys’ fees and expenses; and whether to approve the service awards to the Settlement Class Representatives. If there are objections, the Court will consider them. The Court may choose to hear from people who have asked to speak at the hearing. At or after the hearing, the Court will decide whether to approve the Settlement. There is no deadline by which the Court must make its decision.

The Court may reschedule the Final Approval Hearing or change any of the deadlines described in this notice. The date of the Final Approval Hearing may change without further notice to the Settlement Class Members. Be sure to check the website, [website], for updates. You can also access the case docket via the Court's Public Access to Court Electronic Records (PACER) system at <https://ecf.mad.uscourts.gov>.

Class Counsel will file a motion for final approval of the Settlement by [Month xx, xxxx]. Objectors, if any, must file any response to Class Counsel's motion by [Month xx, xxxx]. Responses to any objections and any replies in support of final approval of the Settlement and/or Class Counsel's application for attorneys' fees, costs, and expenses, and Service Awards will be filed by [Month xx, xxxx].

23. Do I have to come to the Final Approval Hearing?

No. Class Counsel will answer any questions the Court may have. You may attend at your own expense if you wish. If you send an objection, you do not have to come to the hearing to talk about it. As long as you mailed or filed your written objection on time, the Court will consider it. You may also pay your own lawyer to attend, but it is not necessary.

24. May I speak at the hearing?

You may ask the Court for permission to speak at the Final Approval Hearing. To do so, you must include a statement in your written objection (*see* Question 20) that you intend to appear at the hearing. Be sure to include your name, address, and signature as well. You cannot speak at the hearing if you opt out or exclude yourself from the Class.

IF I DO NOTHING

25. What happens if I do nothing at all?

If you are a Settlement Class Member and do nothing, you will not get any money from this Settlement, and you will not be able to sue the Defendant or other released parties for the claims released by the Settlement Agreement.

GETTING MORE INFORMATION

26. Are more details about the Settlement available?

This notice summarizes the proposed Settlement – more details are in the Settlement Agreement and other case documents available at [website], by accessing the docket in this case through the Court's Public Access to Court Electronic Records (PACER) system at <https://ecf.mad.uscourts.gov>, or by visiting the office of the Clerk of the Court for the United States District Court for the District of Massachusetts, 1 Courthouse Way, Suite 2300, Boston, Massachusetts 02210, between 8:30 a.m. and 4:30 p.m., Monday through Friday, excluding Court holidays.

27. How do I get more information?

Visit the website, [website], where you will find more information, including the Claim Form, a copy of the Settlement Agreement, and answers to questions about the Settlement and other information to help you determine whether you are eligible for a payment.

Contact the Settlement Administrator, Epiq, at [redacted] or by writing to [redacted],
Attn: Arietis Health Settlement at:

[Insert Settlement Administrator Address]

Speak with Class Counsel by calling (412) 322-9343 or by writing to: Arietis Health Class Action, Lynch Carpenter, LLP, Attn: Gary F. Lynch 1133 Penn Avenue, 5th Floor, Pittsburgh, PA 15222.

PLEASE DO NOT CONTACT THE COURT, THE COURT CLERK'S OFFICE, OR DEFENDANT TO INQUIRE ABOUT THIS SETTLEMENT OR THE CLAIM PROCESS.

Exhibit F

To:

From:

Subject: Arietis Health, LLC MOVEit Security Incident Class Action Settlement

File your claim for up to \$75 or more by [Month XX, XXXX]

You may have recently received a mailed or emailed notice of a class action settlement in Massachusetts federal court regarding the MOVEit security incident as it relates to personally identifiable information and protected health information provided by data owners to Arietis Health, LLC (the “Settlement”). If so, according to the terms of that notice, you may be eligible to receive one or more of the following: (1) reimbursement of documented out-of-pocket expenses up to \$5,000; (2) reimbursement of \$25 per hour for time spent responding to the security incident, up to \$100; and (3) medical data monitoring, credit monitoring and identity theft protection services. To receive Settlement benefits, you must complete and submit a claim form. Submit the claim form you received in the mail or file your claim at [website]. Your claim form must be postmarked or submitted online by [Month XX, XXXX].

For more information visit the website, [website], where you will find more information, including the claim form, a copy of the Settlement Agreement, and answers to questions about the Settlement and other information to help you determine whether you are eligible for a payment or whether to exercise other rights.

Questions? Go to [website] or call [phone number].